

The County of Dauphin

Pennsylvania



Action Plan 2023

Program Year – July 1, 2023 – June 30, 2024

FOR

COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM

AND

HOME INVESTMENT PARTNERSHIP PROGRAM

SUBMITTED TO:

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

Executive Summary

AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

Dauphin County is a federal entitlement community under the U.S. Department of Housing and Urban Development's Community Development Block Grant (CDBG) Program and HOME Investment Partnerships Program (HOME). As a HUD entitlement community, the County is required to prepare an Annual Action Plan (AP) which outlines the county's goals, expected CDBG/HOME resources, and plans to allocate its federal funding over the next program year. The CDBG Urban County excludes the City of Harrisburg and Lower Paxton Township, which receive their own entitlement funding from HUD, as well as Washington Township, which has opted out of participation in the Urban County for the 2023-2025 program years. This AP covers the 2023 Program Year. (July 1, 2023 through June 30, 2024) and will be submitted to HUD for approval as part of the annual allocation process. This plan further is consistent with the long-term goals and objectives outlined in Dauphin County's broader 2022-2026 Consolidated Plan.

2. Summarize the objectives and outcomes identified in the Plan

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

Using the recently approved 2022-2026 Consolidated Plan as the guiding framework for investing CDBG and HOME funds, three overarching objectives guide the proposed activities in the 2023 Action Plan:

- **Objective #1:** Provide Decent Affordable Housing including investing in new affordable housing construction to address the housing deficit, supporting first-time homebuyers, and preserving owner-occupied affordable housing through rehabilitation. Priority focus will be given to investing 2023 Set Aside and prior unspent HOME funds on affordable housing production.
- **Objective #2:** Create Suitable Living Environments including investing in municipal infrastructure in low-to-moderate income communities and project areas, as well as investing in public services.
- **Objective #3:** Create Economic Opportunities by supporting public services that provide socioeconomic stability and upward mobility.

Outcomes show how programs and activities actually benefit a community or the people served. The three outcomes that will illustrate the benefits of each activity funded by 2023 CDBG and HOME programs are:

- **Outcome #1:** Improved Availability/Accessibility of public facilities and public services. This includes the number of beneficiaries served by public infrastructure projects and public services, as well as the number of accessibility barriers removed.
- **Outcome #2:** Improved Affordability for housing. This includes the number of new affordable housing units created, number of families assisted by the First-Time Homebuyer's Program, and number of LMI homes rehabilitated.
- **Outcome #3:** Improved Sustainability of infrastructure in underserved communities and of public services. This includes number of LMI families benefiting from infrastructure improvements.

All activities proposed for funding in this Action Plan support at least one objective and one outcome. The County will realize these outcomes and objectives by gearing investments of 2023 CDBG and HOME funds (and other sources of funds) towards one of the goals below.

- Provide and Preserve Decent, Affordable Housing
- Provide a Suitable Living Environment
- Increase Homeownership
- End Chronic Homelessness

These goals were developed through the recent consolidated planning process which included robust public input, stakeholder meetings, data analysis, and needs analysis.

2023 CDBG and HOME funding will be invested in conjunction with other sources of funding (previous HOME funds, HOME-ARP, ARP, Affordable Housing Trust Fund, Local Share Gaming Grant, etc.) to meet the goals above.

3. Evaluation of past performance

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

Dauphin County selected 2023 CDBG/HOME projects based on a review of past investments, current needs on the ground as expressed through the annual application process, review of subrecipient capacity based on prior performance, feedback from stakeholder meetings and public comment, and consideration of new, non-HUD state and federal funds to judiciously invest CDBG/HOME funds without duplication. 2023 has seen a historic influx of federal, state, and local funds addressing overwhelming needs similar to those the CDBG/HOME programs address, thus requiring Dauphin County to carefully choose its projects to maximize HUD investments and supplement (rather than duplicate) other programs and efforts.

In 2023, the affordable housing and homelessness crisis has reached critical mass, highlighting the historic underperformance in producing affordable housing units and prompting the county to consider

investments in a manner that best supports addressing both issues. As seen in the Consolidated Plan, HOME-ARP Allocation Plan, and a recently commissioned independent Dauphin County Housing Study, the county continues to face a critical shortage in affordable housing units lagging behind demand for affordable housing of all types. For this reason, HOME funds will continue to be invested in the CHDO Set-Aside to close the funding gap and complete the Frank. S. Brown Boulevard Affordable Townhome Project and create 6 additional affordable homeowner units. Additionally, the balance of prior years' unspent HOME funds will be reallocated to additional housing construction projects including using funds to leverage other sources and maximize housing production. HOME funds will also continue to be invested in the First-Time Homebuyers Program to promote affordability and support the goal of increasing homeownership. Outside of the HOME program, local funds are supporting an additional 80 units of affordable housing construction in 2023.

Regarding homelessness, the county has experienced a sharp increase in individuals and families experiencing homelessness and will use a separate HOME-ARP allocation to address the goal of ending chronic homelessness. The county will also continue to invest in public services and supportive services for homeless and at-risk-for-homelessness populations.

Due to the Commonwealth of Pennsylvania appropriating \$2.8 million to Dauphin County in separate Whole Home Repairs Program funding to improve the county's housing stock, Dauphin County will place a one-year hold on funding to the HOME Rehab Program to avoid duplication and redirect those funds to close the gap for the Frank S. Brown Boulevard Phase II Project. This will address the goal of preserving decent, affordable housing while using funds most judiciously to finally complete the long-standing Frank S. Brown Boulevard Project. As stated, the county will also direct older, unspent HOME funds to additional affordable housing projects.

The 2023 local application process, a separate survey of municipal infrastructure needs, and stakeholder input further evidenced a continued need for CDBG-funded infrastructure improvements in the county with \$1.5 million in total 2023 CDBG infrastructure requests from a number of low-to-moderate income communities. This results in a proposed CDBG investment of \$1.15 million in infrastructure projects with the goal of creating suitable living environments as well as improving accessibility. The CDBG application and stakeholder input process also demonstrated the continued need for public services with over \$500,000 in funding requests from service providers resulting in \$213,465 in planned public service investments.

4. Summary of Citizen Participation Process and consultation process

Summary from citizen participation section of plan.

As part of the County's ongoing efforts to notify and engage the public in the annual planning process, the County held two publicly advertised outreach meetings. One meeting was held on February 15, 2023 and the other was held on April 26, 2023. Both meetings were held at the Dauphin County office of the Department of Community and Economic Development located at 3211 North Front Street,

Harrisburg, PA at 9:00 a.m. Teleconference options were also provided to the public through public advertisements in the Patriot News (the largest publication of general circulation) and on the county website.

The meetings invited the public to participate in the annual development process and to provide feedback or questions on the draft Annual Action Plan, planned projects, and process. The Public was also offered a **30 day** review period after the Action Plan was drafted to review and submit written comments on the plan prior to submission to HUD. This period took place between April 8, 2023 and May 8, 2023 and was publicly advertised in the Patriot News. Drafts of the plan were made available at the Dauphin County Office of Community and Economic Development as well as on the county website.

Dauphin County was also proactive in outreach to solicit CDBG and HOME applications from a broad range of entities thus determining the list of projects funded. This included hard copy correspondence, personal outreach, and making the application widely available in hard copy and digital format. Staff also provided technical assistance to applicants to ensure applicants of all capabilities were able to apply to the CDBG/HOME program.

Stakeholder consultation meetings were arranged via direct outreach to the County's list of social services providers, municipalities, affordable housing stakeholders, and entities engaged in critical community development areas including broadband, business development, homelessness, domestic violence, reentry and emergency management. Outreach consisted of written outreach, e-mail outreach, and direct telephone outreach. Stakeholder meetings were held virtually via the ZOOM meeting platform on April 3, 2023, April 5, 2023 and April 12, 2023. All three meetings were attended by a broad range of stakeholders that surpassed participation in 2022 and provided critical and timely feedback to consider as part of the 2023 Action Plan. The process resulted in robust stakeholder input and one submission of written public comment.

5. Summary of public comments

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

Written public comments were submitted by Mr. Michael Weisberg and are attached to the Action Plan.

6. Summary of comments or views not accepted and the reasons for not accepting them

Dauphin County did not reject any public comments or views.

7. Summary

This Action Plan outlines the goals of Dauphin County for its CDBG and HOME funds for the 2023 Program Year. Following extensive outreach and public input, a competitive application process, and a thorough stakeholder input process, the Annual Action Plan clearly outlines programs and activities that will address the identified needs found in the county's Consolidated Plan. Despite the number of needs identified by stakeholders and the public, the County's CDBG and HOME programs are limited in funding and the county has carefully selected investments in the context of other historic levels of federal, state, and local resources. This document outlines the County's plans to maximize this investment of federal CDBG and HOME resources. It also notes investments being made from other sources of funding to give as complete a picture as possible of upcoming investments in program year 2023.

PR-05 Lead & Responsible Agencies – 91.200(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

| Agency Role | | Name | Department/Agency |
|--------------------|----------------|--|-------------------|
| CDBG Administrator | DAUPHIN COUNTY | Department of Community and Economic Development | |
| HOME Administrator | DAUPHIN COUNTY | Department of Community and Economic Development | |

Table 1 – Responsible Agencies

Narrative (optional)

Dauphin County manages and implements its own projects funded by HUD through a three-member commission. The Commissioners of Dauphin County have designated the Dauphin County Department of Community and Economic Development (DCED) to be the primary agency responsible for the planning, management, and implementation of all HUD funding the County receives. The DCED is the lead agency which manages the County's HUD entitlement programs including both CDBG and HOME funds. In addition, DCDCED works in coordination with the Housing Authority of the County of Dauphin to promote safe and available housing while attempting to address a variety of housing needs within the County. The County also participates in the Harrisburg/Dauphin Continuum of Care. Pursuant to this designation, DCDCED subsequently runs CDBG and HOME programs through its Dauphin County Economic Development Corporation (EDC).

Consolidated Plan Public Contact Information

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AP-10 Consultation – 91.100, 91.200(b), 91.215(I)

1. Introduction

The Dauphin County Department of Community and Economic Development (DCED) developed an outreach effort to maximize input from a large cross-section of stakeholders. This outreach effort included public meetings, published meeting notices, and multiple stakeholder/focus group meetings to engage a broad variety of stakeholders and County residents. The County also consulted key planning documents including its HOME-ARP allocation plan and 2023 Housing Study to inform its 2023 Action Plan.

Provide a concise summary of the jurisdiction’s activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(I))

The county consulted with a variety of non-profits, social service providers, community residents, broadband providers, governmental agencies and municipal leaders to determine the needs of the County and better allocate entitlement resources. Virtual stakeholder meetings were held April 3, 2023, April 5, 2023 and April 12, 2023 with affordable/special needs housing and service providers, homeless service agencies, economic development officials, elected officials, and health and human service providers.

Two public hearings were held on February 15, 2023 and April 26, 2023. These public hearings were advertised in the Patriot News in accordance with the County's approved Citizen Participation Plan. Persons with disabilities and LEP persons were provided opportunity to participate and comment.

The County actively discussed coordination opportunities with stakeholders at meetings and shared participant contact lists to enhance coordination. The county also discussed hosting networking events with stakeholders to enhance coordination.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.

Dauphin County participates in the Harrisburg/Dauphin County Continuum of Care, has implemented a Coordinated Entry system in order to assess the unique needs, barriers and strengths of persons experiencing housing crises and then referring them to resources, services and housing best suited for addressing those needs and barriers. Along with Coordinated Entry, data collected through the County's HMIS informs the County and Continuum's plans to address homeless issues throughout the County, but especially in rural areas. The Capital Area Coalition on Homelessness (CACH) is the CoC Lead, and continues to be a valuable resource for the County in its effort to prioritize resources and end

homelessness. The County is leading a HOME-ARP work group with CACH and the City of Harrisburg to better coordinate serving homelessness needs including HOME-ARP investments.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

Dauphin County does not receive ESG funds directly from HUD; rather, it seeks competitive funding from the Commonwealth of Pennsylvania through its Department of Human Services.

2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction's consultations with housing, social service agencies and other entities

Table 2 – Agencies, groups, organizations who participated

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| 1 | Agency/Group/Organization | Dauphin County Department of Community and Economic Development |
| | Agency/Group/Organization Type | Housing Other government - County |
| | What section of the Plan was addressed by Consultation? | Housing Need Assessment Public Housing Needs Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs Market Analysis Economic Development Anti-poverty Strategy Lead-based Paint Strategy |
| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | Participated in stakeholder meetings and gathered input from stakeholders on a daily basis as well as managed the annual CDBG/HOME application process. Also prepared the Annual Action Plan. Anticipated outcomes are investment of CDBG/HOME funds in the areas of greatest need. |

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| 2 | Agency/Group/Organization | Northern Dauphin County Human Services Center |
| | Agency/Group/Organization Type | Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Victims of Domestic Violence Services-homeless Services-Health Services-Education Services-Employment Service-Fair Housing Services - Victims Other government - County |
| | What section of the Plan was addressed by Consultation? | Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs |
| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | Participated in April 2023 stakeholder engagement meetings. Anticipated outcomes are improved coordination with other social service providers in Southern Dauphin County and continued support for public services in Northern Dauphin County. |

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| 3 | Agency/Group/Organization | Shalom House |
| | Agency/Group/Organization Type | Services - Housing Services-Children Services-Victims of Domestic Violence Services - Victims |
| | What section of the Plan was addressed by Consultation? | Homeless Needs - Families with children Homelessness Strategy Anti-poverty Strategy |
| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | Participated in April 2023 stakeholder engagement meetings. Anticipated outcomes are continued coordination with public service providers for victims of domestic violence and continued investments in new public services serving this population. Dauphin County will invest 2023 CDBG funds in a new social worker position to increase services to Shalom House's clients. |
| 4 | Agency/Group/Organization | HABITAT FOR HUMANITY OF HARRISBURG |
| | Agency/Group/Organization Type | Housing Services - Housing |
| | What section of the Plan was addressed by Consultation? | Housing Need Assessment Anti-poverty Strategy |
| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | Participated in April 2023 stakeholder engagement meetings. Anticipated outcomes are improved coordination of affordable housing and home improvement programs. A result is Dauphin County investing 2023 CDBG funds in expanding Habitat for Humanity's Critical Home Repairs Program. |
| 5 | Agency/Group/Organization | HUMMELSTOWN |
| | Agency/Group/Organization Type | Other government - Local |

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| | What section of the Plan was addressed by Consultation? | Economic Development Infrastructure |
| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | Participated in April 2023 stakeholder engagement meetings. Outcome of the consultation is increased awareness by the municipality about the CDBG program and how it can benefit LMI service areas in the community. The anticipated outcome is the municipality applying to future CDBG funding rounds. |
| 6 | Agency/Group/Organization | American Red Cross Lebanon County |
| | Agency/Group/Organization Type | Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Victims of Domestic Violence Services-homeless Services-Health Services-Education Services-Employment Services - Victims |
| | What section of the Plan was addressed by Consultation? | Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs Anti-poverty Strategy |

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| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | Participated in April 2023 stakeholder engagement meetings. Anticipated outcomes are continued assessment of client needs and Red Cross's participation in future CDBG application rounds. |
| 7 | Agency/Group/Organization | South Hanover Township |
| | Agency/Group/Organization Type | Other government - Local |
| | What section of the Plan was addressed by Consultation? | Economic Development Infrastructure |
| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | Participated in April 2023 stakeholder engagement meetings. Expected outcome is continued investment in South Hanover sewer expansion in LMI neighborhoods and referral to other county funding sources. |
| 8 | Agency/Group/Organization | Fair Housing Council |
| | Agency/Group/Organization Type | Housing Services - Housing |
| | What section of the Plan was addressed by Consultation? | Housing Need Assessment Public Housing Needs |
| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | Participated in April 2023 stakeholder engagement meetings. Anticipated outcomes are continued investment in fair housing counseling and the other services provided by the FHC. The stakeholder is a regular participant in the CDBG application process. |
| 9 | Agency/Group/Organization | MID PENN LEGAL SERVICES |
| | Agency/Group/Organization Type | Services - Victims |
| | What section of the Plan was addressed by Consultation? | Anti-poverty Strategy Legal Services for LMI Individuals |

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| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | Participated in April 2023 stakeholder engagement meetings. Anticipated outcomes are continued participation by MPLS in the annual application process. Dauphin County is also investing 2023 CDBG funds in the MPLS's new Diversity, Equity, and Inclusion programming. |
| 10 | Agency/Group/Organization | Borough of Highspire |
| | Agency/Group/Organization Type | Other government - Local |
| | What section of the Plan was addressed by Consultation? | Infrastructure |
| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | Participated in April 2023 stakeholder engagement meetings. Anticipated outcomes are continued participation in the annual CDBG application process. Dauphin County will invest 2023 CDBG funds in roadway improvements/restoration in LMI areas of the borough. |
| 11 | Agency/Group/Organization | Latino Hispanic American Community Center (LHACC) |
| | Agency/Group/Organization Type | Services-Education Services-Employment |
| | What section of the Plan was addressed by Consultation? | Non-Homeless Special Needs Economic Development Anti-poverty Strategy |
| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | Participated in April 2023 stakeholder engagement meetings. Anticipated outcomes are continued participation in the annual CDBG application process and improvement of services to ESL and Latino-Hispanic populations. Dauphin County will invest 2023 CDBG funds in the creation of a new bilingual caseworker focused on senior programming and workforce development programs. |

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| 12 | Agency/Group/Organization | Capital Area Transit |
| | Agency/Group/Organization Type | Regional organization Planning organization Transportation |
| | What section of the Plan was addressed by Consultation? | Public Transportation |
| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | Participated in April 2023 stakeholder engagement meetings. Anticipated outcomes are improved coordination of projects with transit planning to connect LMI populations to opportunity zones. Other outcomes include participation in the annual CDBG application process. |
| 13 | Agency/Group/Organization | WILLIAMSTOWN |
| | Agency/Group/Organization Type | Other government - Local |
| | What section of the Plan was addressed by Consultation? | Infrastructure |
| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | Participated in April 2023 stakeholder engagement meetings. Anticipated outcomes are continued investment in improving deteriorating and aging infrastructure in the borough, which is majority LMI. Dauphin County will invest 2023 CDBG funds in improving the borough's water infrastructure in 2023. |
| 14 | Agency/Group/Organization | YWCA OF GREATER HARRISBURG |
| | Agency/Group/Organization Type | Services-Children Services-Victims of Domestic Violence Services-homeless Services-Health Services-Education |

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| | What section of the Plan was addressed by Consultation? | Homeless Needs - Families with children Non-Homeless Special Needs Anti-poverty Strategy |
| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | Participated in April 2023 stakeholder engagement meetings. Anticipated outcomes are the YWCA participating in future CDBG annual application rounds. |
| 15 | Agency/Group/Organization | Capital Area Coalition on Homelessness |
| | Agency/Group/Organization Type | Housing Services - Housing Services-homeless |
| | What section of the Plan was addressed by Consultation? | Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy |
| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | Participated in April 2023 stakeholder engagement meetings and provided written comments attached to this plan. Anticipated outcomes are CACH's continued participation in the HOME-ARP workgroup which includes Dauphin County, City of Harrisburg, CACH, and the Dauphin County Department of Human Services and the resulting investment of HOME-ARP dollars in transitional housing and homelessness supportive services. |

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| 16 | Agency/Group/Organization | The Salvation Army Harrisburg Capital City Region |
| | Agency/Group/Organization Type | Services - Housing Services-Children Services-Elderly Persons Services-homeless Services-Health Services-Education Services-Employment Services - Victims |
| | What section of the Plan was addressed by Consultation? | Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Strategy Non-Homeless Special Needs |
| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | Participated in April 2023 stakeholder engagement meetings. Anticipated outcomes are the Salvation Army's continued participation on the annual CDBG application process and investing 2023 CDBG funds in expanding the Choice Shopping and Nutrition Pantry Program. |
| 17 | Agency/Group/Organization | Dauphin County Emergency Management Agency |
| | Agency/Group/Organization Type | Agency - Emergency Management |
| | What section of the Plan was addressed by Consultation? | Emergency Management and Hazard Mitigation |
| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | Participated in April 2023 stakeholder engagement meetings. Anticipated outcomes are improving resiliency and increasing participation of emergency response and resiliency entities in the CDBG process. |

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| 18 | Agency/Group/Organization | Halifax Borough |
| | Agency/Group/Organization Type | Other government - Local |
| | What section of the Plan was addressed by Consultation? | Economic Development Infrastructure |
| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | Participated in April 2023 stakeholder engagement meetings. Anticipated outcomes are investments in improving Halifax's aging and deteriorating infrastructure in LMI services areas an investing 2023 CDBG funds in Halifax's 5th Street Water Main Replacement Project. |
| 19 | Agency/Group/Organization | Dauphin County Parks & Rec |
| | Agency/Group/Organization Type | Services-Children Services-Health Services-Education Other government - County |
| | What section of the Plan was addressed by Consultation? | Non-Homeless Special Needs Public parks and recreation |
| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | Participated in April 2023 stakeholder engagement meetings. Anticipated outcomes are continued coordination with Parks and Rec. in utilizing CDBG funds for eligible parks and recreation improvements, specifically needed ADA accessibility improvements. |
| 20 | Agency/Group/Organization | SWATARA TOWNSHIP (DAUPHIN) |
| | Agency/Group/Organization Type | Other government - Local |
| | What section of the Plan was addressed by Consultation? | Economic Development Infrastructure |

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| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | Participated in April 2023 stakeholder engagement meetings. Anticipated outcomes are Swatara's continued participation in the annual CDBG application process to address infrastructure needs in LMI areas of the township. |
| 21 | Agency/Group/Organization | Dauphin County Human Services |
| | Agency/Group/Organization Type | Housing Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Victims of Domestic Violence Services-homeless Services-Health Services-Education Services-Employment Service-Fair Housing Services - Victims Services - Broadband Internet Service Providers Services - Narrowing the Digital Divide Child Welfare Agency Other government - Local |

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| | What section of the Plan was addressed by Consultation? | Housing Need Assessment Public Housing Needs Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs Anti-poverty Strategy Lead-based Paint Strategy |
| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | Participated in April 2023 stakeholder engagement meetings. Anticipated outcomes are continued coordination with a multitude of public service providers as well as continued to assess needs on the ground and participation in the HOME-ARP workgroup to address homelessness with the City of Harrisburg and CACH. The departments also work together to address casework issues and refer residents to programs to address needs. |
| 22 | Agency/Group/Organization | Housing Authority of Dauphin County |
| | Agency/Group/Organization Type | Housing PHA Services - Housing Publicly Funded Institution/System of Care |
| | What section of the Plan was addressed by Consultation? | Housing Need Assessment Public Housing Needs |

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| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | Participated in April 2023 stakeholder engagement meetings. Anticipated outcomes are finding funding for a shovel-ready rental housing project, incentivizing landlord participation in the Housing Choice Voucher program (currently have 75 HCVs that cannot find landlords), and supporting families to transition from public housing to self-sufficiency. Dauphin County currently has 2022 CDBG funds invested in supporting a new Family Self-Sufficiency Services Coordinator. |
| 23 | Agency/Group/Organization | Center for Employment Opportunities |
| | Agency/Group/Organization Type | Services-Education Services-Employment |
| | What section of the Plan was addressed by Consultation? | Non-Homeless Special Needs Anti-poverty Strategy Reentry Population Services |
| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | Participated in April 2023 stakeholder engagement meetings. Anticipated outcomes are CEO's continued participation in the annual CDBG application process as well as other county programs that have supported CEO in the past. |
| 24 | Agency/Group/Organization | Penbrook Borough |
| | Agency/Group/Organization Type | Other government - Local |
| | What section of the Plan was addressed by Consultation? | Infrastructure |
| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | Participated in April 2023 stakeholder engagement meetings. Anticipated outcomes are continued investment in the borough's aging and deteriorating infrastructure and their continued participation in the annual CDBG application process. |

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| 25 | Agency/Group/Organization | Elizabethville Area Authority |
| | Agency/Group/Organization Type | Other government - Local Water Authority |
| | What section of the Plan was addressed by Consultation? | Infrastructure |
| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | Participated in April stakeholder meetings. Anticipated outcome is investing 2023 CDBG funds in water system improvements in the borough. |
| 26 | Agency/Group/Organization | Hamilton Health Center |
| | Agency/Group/Organization Type | Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Health |
| | What section of the Plan was addressed by Consultation? | Non-Homeless Special Needs Anti-poverty Strategy Healthcare |
| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | Participated in April stakeholder meetings. Expected outcome is participation in the annual application process. |
| 27 | Agency/Group/Organization | Borough of Steelton |
| | Agency/Group/Organization Type | Other government - Local |

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| | What section of the Plan was addressed by Consultation? | Economic Development Infrastructure |
| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | Participated in April stakeholder meetings. Expected outcome is continued investment in borough infrastructure. |
| 28 | Agency/Group/Organization | Evolve Youth Trades Academy |
| | Agency/Group/Organization Type | Services-Children Services-Education Services-Employment |
| | What section of the Plan was addressed by Consultation? | Non-Homeless Special Needs Economic Development Job training |
| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | Participated in April stakeholder meetings. Anticipated outcome is Dauphin County investing 2023 CDBG funds in expanding the Evolve School to Work youth trades and workforce training program in Steelton. |
| 29 | Agency/Group/Organization | Middletown Borough |
| | Agency/Group/Organization Type | Other government - Local |
| | What section of the Plan was addressed by Consultation? | Infrastructure |
| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | Participated in April stakeholder meetings. Anticipated outcome is participation in county CDBG application process and investment in eligible infrastructure improvements in the borough. |

| | | |
|----|--|--|
| 30 | Agency/Group/Organization | Urban Partners |
| | Agency/Group/Organization Type | Housing Planning organization For Profit Urban Planners |
| | What section of the Plan was addressed by Consultation? | Housing Need Assessment Public Housing Needs |
| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | Participated in April stakeholder meetings. Anticipated outcome is continued coordination with Urban Partners on implementation of the 2023 Dauphin County Housing Study and their engagement as part of the Housing Study Work Group. |
| 31 | Agency/Group/Organization | Middle Paxton Township |
| | Agency/Group/Organization Type | Other government - Local |
| | What section of the Plan was addressed by Consultation? | Infrastructure |
| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | Participated in April stakeholder meetings. Anticipated outcome is Dauphin County investing 2023 CDBG funds in an ADA elevator upgrade at the municipal building to increase accessibility. |

| | | |
|----|--|---|
| 32 | Agency/Group/Organization | Tri County Community Action Commission |
| | Agency/Group/Organization Type | Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Victims of Domestic Violence Services-homeless Services-Health Services-Education Services-Employment Service-Fair Housing |
| | What section of the Plan was addressed by Consultation? | Anti-poverty Strategy |
| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | Participated in April stakeholder meetings. Anticipated outcome is continued participation in the CDBG application process. |
| 33 | Agency/Group/Organization | Comcast Corporation |
| | Agency/Group/Organization Type | Services - Broadband Internet Service Providers Services - Narrowing the Digital Divide |
| | What section of the Plan was addressed by Consultation? | Broadband access and affordability |

| | | |
|----|--|---|
| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | Participated in April stakeholder meetings. Anticipated outcome is continuing the county's partnership with Comcast to deliver broadband in underserved/unserved areas. |
| 34 | Agency/Group/Organization | Lykens Borough Authority |
| | Agency/Group/Organization Type | Other government - Local |
| | What section of the Plan was addressed by Consultation? | Infrastructure |
| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | Participated in April stakeholder meetings. Anticipated outcome is continued investment in local infrastructure. |

Identify any Agency Types not consulted and provide rationale for not consulting

Dauphin County reached out to all agency types when soliciting participation in the stakeholder meetings and had a more robust turnout than in previous years.

Other local/regional/state/federal planning efforts considered when preparing the Plan

| Name of Plan | Lead Organization | How do the goals of your Strategic Plan overlap with the goals of each plan? |
|-------------------|--|---|
| Continuum of Care | Capital Area Coalition on Homelessness | <p>The goals in the 2023 Annual Action Plan help CASH achieve their goals by investing in projects and organizations that prevent homelessness. For example, the 2023 AP invests in projects to promote home-ownership of LMI individuals, and the investment of state Whole Home Repairs Program funds ensure homeowners are not forced into homelessness due to their home's condition.</p> <p>Dauphin County is further investing HOME-ARP dollars in consultation with CACH and Harrisburg City to expand homeless housing and supportive services.</p> |

Table 3 – Other local / regional / federal planning efforts

Narrative (optional)

AP-12 Participation – 91.105, 91.200(c)

**1. Summary of citizen participation process/Efforts made to broaden citizen participation
Summarize citizen participation process and how it impacted goal-setting**

Dauphin County’s goal for citizen participation is to ensure broad participation of County residents, housing, economic, and service providers, County departments, nonprofit organizations, and other stakeholders in the planning and implementation of community development and housing programs.

For the development of the FY 2023 Action Plan, Dauphin County held two public meetings. The meetings were advertised in the *Patriot News* and PennLive. The public hearings were held on February 15, 2023 and April 26, 2023. Persons with disabilities and LEP persons were provided opportunity to participate and comment.

Stakeholder meetings were held April 3, 2023, April 5, 2023 and April 12, 2023, with affordable/special needs housing and service providers, homeless service agencies, economic development officials, elected officials, and health and human service providers.

A complete summary of citizen participation, including public meeting minutes, attendance sheets, notices and citizen comments received are included in the Citizen Participation Appendix attached to this document.

Citizen Participation Outreach

| Sort Order | Mode of Outreach | Target of Outreach | Summary of response/attendance | Summary of comments received | Summary of comments not accepted and reasons | URL (If applicable) |
|------------|------------------|------------------------------|--------------------------------|------------------------------|--|---------------------|
| 1 | Newspaper Ad | Non-targeted/broad community | | | | |

| Sort Order | Mode of Outreach | Target of Outreach | Summary of response/attendance | Summary of comments received | Summary of comments not accepted and reasons | URL (if applicable) |
|------------|------------------|------------------------------|--------------------------------|------------------------------|--|---------------------|
| 2 | Public Meeting | Non-targeted/broad community | | | | |

Table 4 – Citizen Participation Outreach

Expected Resources

AP-15 Expected Resources – 91.220(c)(1,2)

Introduction

The County expects to receive the following CDBG and HOME resources in 2023 as outlined in the table below.

Anticipated Resources

| Program | Source of Funds | Uses of Funds | Expected Amount Available Year 1 | | | | Expected Amount Available Remainder of ConPlan \$ | Narrative Description |
|---------|------------------|--|----------------------------------|--------------------|--------------------------|-----------|---|---|
| | | | Annual Allocation: \$ | Program Income: \$ | Prior Year Resources: \$ | Total: \$ | | |
| CDBG | public - federal | Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services | 1,425,028 | 0 | 1,423,104 | 2,848,132 | 4,200,000 | With an anticipated \$4.2 million for years 3,4 and 5 of the Consolidated Plan, Dauphin County will continue to invest in infrastructure projects and public service organizations. |

| Program | Source of Funds | Uses of Funds | Expected Amount Available Year 1 | | | | Expected Amount Available Remainder of ConPlan \$ | Narrative Description |
|---------|------------------|---|----------------------------------|--------------------|--------------------------|-----------|---|---|
| | | | Annual Allocation: \$ | Program Income: \$ | Prior Year Resources: \$ | Total: \$ | | |
| HOME | public - federal | Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA | 506,785 | 0 | 705,367 | 1,212,152 | 1,515,000 | With an anticipated \$1.5 million for years 3,4 and 5 of the Consolidated Plan, Dauphin County will continue to invest in the construction of affordable housing, continue to assist 1st time homebuyers to purchase their first home and will continue to invest into the rehabilitation of the older housing stock in Dauphin County. |

Table 5 - Expected Resources – Priority Table

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

In addition to federal CDBG and HOME funds, the County has a variety of funding sources for programs and initiatives to support community and economic development projects and supplement CDBG and HOME investments. These include the County’s Tourism Grant Program, Local Share (Gaming) Grant Program, the Dauphin County Infrastructure Bank Program, the Dauphin County Transportation Safety Improvement Grant Program, the Land Bank Demolition Fund grant program, the IDA Small Business Loan Program and other locally funded programs. The County’s First Time Homebuyer Program is coordinated with substantial leveraging from Pennsylvania’s Optional Affordable Housing Funding. These are funds obtained by the County through increased fees for the recording of deeds and mortgages and leverages HOME funds to support the First

Time Homebuyer Program. In addition, the Dauphin County Land Bank utilizes Act 152 (demolition funds) to support its blight elimination and remediation efforts and its Local Share Grant Program to provide matching funds, many times for CDBG and/or HOME-funded activities.

In 2023, Dauphin County anticipates leveraging HOME-ARP funds, Pennsylvania DCED Whole Home Repairs Program funds, local Affordable Housing Trust Fund dollars, and possibly ARPA funds to leverage its 2023 HOME allocation and maintain existing homeowner rehabilitation and first-time homebuyer activities while increasing its CHDO Set-Aside investment to finally complete the Frank S. Brown Boulevard Affordable Townhome Project. Dauphin County is also planning to reallocate older, unspent HOME funds to new housing production including leveraging current projects facing funding gaps.

Regarding CDBG, infrastructure investments are projected to leverage \$236,075 in local match (20%). Public Service investments are projected to leverage \$551,917 in local match (250%). Total CDBG investments are expected to leverage \$787,922 in local match or 58% match. These estimates are based on data provided by applicants on their CDBG application budget provided to Dauphin County. Part of Dauphin County's monitoring of CDBG projects include review of project budgets and financial records to ensure all sources of funds are accounted for and verified including local match.

Traditional matching sources that are expected to be utilized to match CDBG/HOME investments include:

CDBG - Infrastructure - Local funds; state or federal grants; Dauphin County Local Share Grant funds.

CDBG - Public Service - Local funds; state, federal, private, foundation grants; Dauphin County Local Share Grants.

HOME - Construction - Local funds; bank financing; Dauphin County Affordable Housing Trust Fund; Local Share Grant; federal/state/local grants.

HOME - Rehabilitation - PA Whole Home Repairs Program funds will be used in place of the traditional HOME allocation in order to redirect 2023 funds to additional affordable housing production.

HOME - First-Time Homebuyer - Dauphin County Affordable Housing Trust Fund

If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

While the County does own some land, much of it is already developed and in use for government or recreational uses. The County does maintain a land bank program which it has utilized for redevelopment project since 2016. However, due to capacity, the Dauphin County Land Bank Authority acquires and redevelops properties on a per-project basis instead of "banking" properties. The Dauphin County Housing Authority owns land throughout the County but all of it is currently developed and being utilized for housing. The County works with the HA to develop or redevelop housing sites within the County as opportunities arise. This includes recently purchasing 5 single family dwellings for use as transitional housing. Dauphin County Housing Authority is further exploring purchase of a property in Lower Paxton Township for development into affordable and accessible rental housing.

Discussion

The 2023 Action Plan contemplates investing annual HOME and CDBG allocations in a manner that leverages and supplements other funding programs and maximizes their impact. For example, the separate HOME-ARP allocation will be utilized to address homelessness which allows the county to invest HOME funds in affordable housing production and the First-Time Homebuyer's Program. Likewise, using PA State Whole Home Repair Funds in place of HOME funds to continue the 2023 HOME Rehab Program will allow the county to direct additional HOME funds to closing the funding gap and completing the Frank S. Brown Affordable Townhome Project--a necessity given the current housing shortage. Finally, the county is revisiting older unspent HOME funds allocated to non-construction purposes with the goal of reallocated these funds to additional affordable housing production.

Annual Goals and Objectives

AP-20 Annual Goals and Objectives

Goals Summary Information

| Sort Order | Goal Name | Start Year | End Year | Category | Geographic Area | Needs Addressed | Funding | Goal Outcome Indicator |
|------------|--------------------------------|------------|----------|-----------------------------------|---|---------------------------------------|-----------------------------|---|
| 1 | Increase Homeownership | 2022 | 2026 | Affordable Housing | Geographic Areas of Need | Access to Quality, Affordable Housing | HOME: \$281,555 | Rental units constructed: 40 Household Housing Unit Homeowner Housing Added: 6 Household Housing Unit Direct Financial Assistance to Homebuyers: 50 Households Assisted |
| 2 | Improve Existing Housing Stock | 2022 | 2026 | Affordable Housing | County-Wide | Access to Quality, Affordable Housing | CDBG: \$20,000 HOME: \$0 | Homeowner Housing Rehabilitated: 50 Household Housing Unit |
| 3 | Provide Public Services | 2022 | 2026 | Non-Housing Community Development | Geographic Areas of Need County-Wide | Provide Public Services | CDBG: \$213,465 | Public service activities other than Low/Moderate Income Housing Benefit: 5299 Persons Assisted |

| Sort Order | Goal Name | Start Year | End Year | Category | Geographic Area | Needs Addressed | Funding | Goal Outcome Indicator |
|------------|--|------------|----------|-----------------------------------|--------------------------------------|--|----------------------------------|--|
| 4 | Improve Public Facilities and Infrastructure | 2022 | 2026 | Non-Housing Community Development | Geographic Areas of Need County-Wide | Improve Public Facilities and Infrastructure | CDBG: \$1,153,586 | Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 6908 Persons Assisted |
| 5 | Planning/Administration | 2022 | 2026 | Admin | County-Wide | Planning and Administration | CDBG: \$50,052 HOME: \$50,678 | Other: 0 Other |
| 6 | Promote and Support Economic Development | 2022 | 2026 | Non-Housing Community Development | Geographic Areas of Need | Provide Public Services Economic Development | | Other: 25 Other |

Table 6 – Goals Summary

Goal Descriptions

| | | |
|---|-------------------------|---|
| 1 | Goal Name | Increase Homeownership |
| | Goal Description | Dauphin County will invest HOME CHDO Set-Aside Funds into completing Phase II of the Frank S. Brown Boulevard Affordable Town Home Projects creating 6 new owner-occupied affordable units. The county will also continue to invest in its First Time Homebuyers Program leveraging Affordable Housing Trust Fund dollars to provide direct downpayment assistance to approximately 50 first time homebuyers. |

| | | |
|---|-------------------------|---|
| 2 | Goal Name | Improve Existing Housing Stock |
| | Goal Description | Dauphin County will use a combination of state funds (DCED Whole Home Repairs Program) funds and CDBG funds to improve the counties affordable housing stock. Please note that due to receiving approximately \$2.4 million in state WHR funds, Dauphin County will not allocate 2023 HOME funds to rehabilitation. Instead, Dauphin County will utilize state DCED WHR funds to rehab approximately 50 homes while Habitat for Humanity estimates their CDBG-funded Critical Home Repair Program will approve an additional 20 homes. |
| 3 | Goal Name | Provide Public Services |
| | Goal Description | Dauphin County will allocate 15 percent of its CDBG allocation to improving Public Services. This includes supporting workforce development programs in the Steelton-Highspire School District as well as Evolve Youth Trades Academy; supporting food pantries and community produce distribution projects; supporting mental health providers and a social work position; supporting a diversity and inclusion training initiative, and supporting bilingual adult literacy and training at the Latino Hispanic American Community Center. Per custom, all public service investments were determined based on a competitive application process. Estimated number of individuals served is based on data provided by applicants on CDBG application. |
| 4 | Goal Name | Improve Public Facilities and Infrastructure |
| | Goal Description | Per custom, the public facilities and infrastructure projects chosen for 2023 CDBG funding are based on a competitive application process. In 2023, Dauphin County plans to invest CDBG funds in repairing and replacing water and sewer facilities in LMI communities, installing an ADA accessible elevator in a public building, replacing water mains in an LMI community, and continuing the restore roadways in an LMI section of Highspire Borough. |
| 5 | Goal Name | Planning/Administration |
| | Goal Description | Dauphin County will use CDBG and HOME funds for planning and administration up to the allowable cap. |

| | | |
|---|-------------------------|--|
| 6 | Goal Name | Promote and Support Economic Development |
| | Goal Description | In 2023, Dauphin County will invest CDBG funds in a number of workforce development programs and support services aimed at providing upward mobility for low-to-moderate income individuals. This includes a bi-lingual training special through Latino-Hispanic American Community Center, supporting the Evolve Youth Trades Academy, and supporting the Social Enterprise Institutes Neighboring Academy at the Steelton-Highspire School District. |

Projects

AP-35 Projects – 91.220(d)

Introduction

Dauphin County continues to utilize a competitive application process to ensure the most pressing and meritorious needs are met with CDBG and HOME dollars. Eligible applicants apply to Dauphin County between September and November of the previous year with applications thoroughly reviewed for eligibility and quality between November and the drafting of the Action Plan. The projects listed below were submitted by eligible applicants, reviewed by Dauphin County, and determined as eligible for CDBG and HOME investments. Dauphin County is further exploring additional projects to invest older unspent HOME funds and will amend the appropriate Action Plans as projects arise.

Projects

| # | Project Name |
|----|--|
| 1 | Williamstown Water & Sewer Authority - Water & Sewer Equipment Replacement |
| 2 | Elizabethville Area Authority - Maple Avenue Sanitary Sewer I&I Removal Project |
| 3 | Middle Paxton Township - Elevator Installation Project |
| 4 | Halifax Area Water & Sewer Authority - 5th Street Water Main Replacement |
| 5 | Lykens Borough Authority - Wiconisco Water System Improvements |
| 6 | Highspire Borough - Hanover Street Roadway Rehabilitation Program |
| 7 | Social Enterprise Institute - The Neighboring Project |
| 8 | Gemma's Angels - Mobile Farmer's Market |
| 9 | The Salvation Army (Capital City Region) - Choice Shopping and Nutrition Pantry Expansion Initiative |
| 10 | Catholic Charities of the Diocese of Harrisburg - Mental Health Expansion Project |
| 11 | Evolve Youth Trades Academy - Evolve School to Work Expansion |
| 12 | Mid-Penn Legal Services - MidPenn Justice and Equity Center |
| 13 | Shalom House - Transformation Center |
| 14 | Latino Hispanic American Community Center - Bilingual Adult Literacy and Training Program |
| 15 | Habitat for Humanity - Critical Home Repair |
| 16 | 2023 CDBG Program Administration |
| 17 | Section 108 Unprogrammed Funds |
| 18 | 2023 Home Program Administration |
| 19 | First Time Homebuyers Program |
| 20 | CHDO Reserve |

Table 7 - Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved

needs

Dauphin County utilizes an annual application process to guide investments of CDBG funds. This allows eligible entities to provide timely requests based on needs as they exist on the ground. All applications are reviewed for eligibility, including meeting a national objective, and reviewed for impact on LMI populations as well as consistency with Consolidated Plan goals. This results in the annual project investments by Dauphin County outlined in this plan.

HOME funds are generally invested based on proposed eligible CHDO Set Aside projects, readiness of new affordable housing projects, and standing contributions to the successful First-Time Homebuyers Program and HOME Rehabilitation Program. For 2023, Dauphin County will not invest HOME funds into HOME Rehab due to receiving \$2.8 million in state DCED funds for the same purpose. The availability of state rehabilitation dollars has caused the county to redirect its typical annual HOME investment from the HOME Rehabilitation Program to closing the funding gap and completing the Frank S. Brown Boulevard Affordable Townhome Project. This allows the county to use state dollars to continue HOME rehabilitation activities while addressing the critical affordable housing shortage. The county is further planning to re-prioritize older, unspent HOME funds towards construction of additional affordable housing units.

AP-38 Project Summary
Project Summary Information

| | | |
|----------|--|---|
| 1 | Project Name | Williamstown Water & Sewer Authority - Water & Sewer Equipment Replacement |
| | Target Area | |
| | Goals Supported | Improve Public Facilities and Infrastructure |
| | Needs Addressed | Improve Public Facilities and Infrastructure |
| | Funding | CDBG: \$53,075 |
| | Description | Replacement of current outdated equipment that has come to the end of its life to include the following (1) line leak detecting device (2) water & sewer line locator (3) sewer line jetter (4) line camera |
| | Target Date | 6/30/2024 |
| | Estimate the number and type of families that will benefit from the proposed activities | Approximately 2280 persons will benefit. |
| | Location Description | All residents of Williams Township (1000) and Williamstown Borough (1280) will benefit from these upgrades. |

| | | |
|----------|--|---|
| | Planned Activities | <ol style="list-style-type: none"> 1. Line Leak Detecting Device - used to pinpoint water leaks in residential and commercial water lines. The Williamstown Authority staff will use this equipment to find system leaks which then can be repaired. This equipment will be used in conjunction with the Water & Sewer Line Locator. 2. Water & Sewer Line Locator - used to detect and locate underground electric, telephone, Cable TV, waste, gas and sewer lines. They are also used to find buried markers. The Williamstown Authority staff will use this equipment to find water & sewer system lines which then can be repaired as needed. This equipment will be used in conjunction with the Line Leak detecting device. 3. Sewer Line Jetter - The jetter propels a highly flexible hose through 1-1/4" to 4" lines - blasting through sludge, soap and grease blockages. The Williamstown Authority staff will use this equipment to clear and clean blocked sewer lines in the system. 4. Line Camera - The Williamstown Authority staff will use the camera to inspect sewer lines to search for blockages and damaged lines and take the appropriate action to resolve any issues. |
| 2 | Project Name | Elizabethville Area Authority - Maple Avenue Sanitary Sewer I&I Removal Project |
| | Target Area | Geographic Areas of Need |
| | Goals Supported | Improve Public Facilities and Infrastructure |
| | Needs Addressed | Improve Public Facilities and Infrastructure |
| | Funding | CDBG: \$150,000 |
| | Description | Continuation of a phased inflow & infiltration project from the Authority's sanitary sewer system located within Elizabethville Borough. |
| | Target Date | 1/31/2024 |
| | Estimate the number and type of families that will benefit from the proposed activities | Approximately 49 families will directly benefit from this service (taken from the income surveys provided by the Borough). |

| | | |
|---------------------------|---|---|
| | Location Description | The project will extend along Maple Avenue from Smith Avenue to Church Street |
| | Planned Activities | The Authority recently completed improvements to the Smith Avenue Pump Station and the Pine Avenue Sewer Line. However, the Maple Avenue Sanitary Sewer System contributes significant additional flows to the Smith Avenue Pump Station during wet weather events. The Authority has undertaken a phased program to upgrade the old sanitary sewer system. The program is focused on mainline and lateral improvements in areas known to have I&I issues. The proposed project consists of installing approximately 1,949 feet of sanitary sewer pipe and 58 lateral connections within the public right-of-way. The project will extend along Maple Avenue from Smith Avenue to Church Street. Trenchless installation methods will be used to minimize disturbance and project costs. These improvements will reduce the I&I into the system and mitigate the environmental and public health/safety issues present at the project site. |
| 3 | Project Name | Middle Paxton Township - Elevator Installation Project |
| | Target Area | Geographic Areas of Need |
| | Goals Supported | Improve Public Facilities and Infrastructure |
| | Needs Addressed | Improve Public Facilities and Infrastructure |
| | Funding | CDBG: \$53,286 |
| | Description | Installation of a LULA elevator. |
| | Target Date | 1/31/2025 |
| | Estimate the number and type of families that will benefit from the proposed activities | The project will serve a group of persons who are presumed eligible for assistance because of a disability which prevents them from navigating to the various floors of the municipal building. |
| | Location Description | The project is located in the Middle Paxton Township Municipal Building/Fire Station. |
| Planned Activities | The proposed elevator project is to create an environment that can be used by all people, regardless of their age, size, disability or ability. The municipal building took the foresight of having an elevator shaft installed when the building was originally constructed. This project would consist solely of the installation of the LULA elevator. | |

| | | |
|---------------------------|--|---|
| 4 | Project Name | Halifax Area Water & Sewer Authority - 5th Street Water Main Replacement |
| | Target Area | Geographic Areas of Need |
| | Goals Supported | Improve Public Facilities and Infrastructure |
| | Needs Addressed | Improve Public Facilities and Infrastructure |
| | Funding | CDBG: \$474,255 |
| | Description | Design and construction of water main replacements along 5th Street in Halifax, PA |
| | Target Date | 11/30/2024 |
| | Estimate the number and type of families that will benefit from the proposed activities | According to the census data, approximately 2,165 families/individuals will benefit directly or indirectly from this activity. |
| | Location Description | The water main replacement project will take place along 5th Street from Maple to Market Street. |
| Planned Activities | This project location entails the upgrade of the existing 4-inch diameter asbestos cement pipe with 6-inch diameter ductile iron pipe. The project also includes reconnection to the existing water mains at Maple Street, Armstrong Street and Market Street; replacement of 25 water service lines and curb stops from the water main to the right-of-way line; replacement of three (3) fire hydrants; installation of five (5) gate valves; surface restoration; and abandonment of the existing facilities. | |
| 5 | Project Name | Lykens Borough Authority - Wiconisco Water System Improvements |
| | Target Area | Geographic Areas of Need |
| | Goals Supported | Improve Public Facilities and Infrastructure |
| | Needs Addressed | Improve Public Facilities and Infrastructure |
| | Funding | CDBG: \$241,000 |
| | Description | This project is part of a phased improvement project. Interconnecting piping between Well No. 1 on Market Street and the new Well No. 2 |
| | Target Date | 12/31/2024 |

| | | |
|----------|--|--|
| | Estimate the number and type of families that will benefit from the proposed activities | There will be approximately 3105 families or individuals benefitting directly or indirectly from this activity. |
| | Location Description | The project is located near Market Street and Pottsville Street. |
| | Planned Activities | This project funding would be used to interconnect piping Well #1 and Well #2. The project entails approximately 900LF of 8" DICL pipe and power and communication conduits from Well #1 to the drilled, but not developed Well #2. |
| 6 | Project Name | Highspire Borough - Hanover Street Roadway Rehabilitation Program |
| | Target Area | Geographic Areas of Need |
| | Goals Supported | Improve Public Facilities and Infrastructure |
| | Needs Addressed | Improve Public Facilities and Infrastructure |
| | Funding | CDBG: \$156,970 |
| | Description | Roadway improvement project consisting of milling and overlaying Hanover Street between Second Street (SR 0230) and Willow Street. |
| | Target Date | 1/31/2025 |
| | Estimate the number and type of families that will benefit from the proposed activities | Approximately 680 people will benefit from this proposed activity |
| | Location Description | Hanover Street between Second Street and Willow Street |
| | Planned Activities | Roadway improvement project consisting of milling and overlaying Hanover Street between Second Street and Willow Street. A total of 8 ADA compliant curb ramps are also proposed to be installed at the intersections of Hanover Street and Rhoda Avenue, Hanover Street and Cherry Avenue, and Hanover Street and Willow Street |
| 7 | Project Name | Social Enterprise Institute - The Neighboring Project |
| | Target Area | |
| | Goals Supported | Promote and Support Economic Development Provide Public Services |
| | Needs Addressed | Provide Public Services Economic Development |

| | | |
|----------|--|---|
| | Funding | CDBG: \$25,000 |
| | Description | To provide support to the development efforts of The Neighboring Academy, as well as launching and expanding initiatives in workforce readiness, interrupting transportation gaps, providing opportunities for promotion, livable wages, with a focus on apprenticeships for youth and adults in connection with SCPAWorks. |
| | Target Date | 1/31/2025 |
| | Estimate the number and type of families that will benefit from the proposed activities | |
| | Location Description | Services will be provided to students that are enrolled in the Steelton Highspire School District. |
| | Planned Activities | Support to the development efforts of The Neighboring Academy, as well as launching and expanding initiatives in workforce readiness with a focus on apprenticeships for youth. |
| 8 | Project Name | Gemma's Angels - Mobile Farmer's Market |
| | Target Area | County-Wide |
| | Goals Supported | Provide Public Services |
| | Needs Addressed | Provide Public Services |
| | Funding | CDBG: \$30,000 |
| | Description | Distribution of fresh produce from local farms to homeless shelters, soup kitchens and food banks. |
| | Target Date | 1/31/2025 |
| | Estimate the number and type of families that will benefit from the proposed activities | Approximately 13,000 LMI persons will be helped annually |
| | Location Description | Food will be distributed to homeless shelters, soup kitchens and food banks. |

| | | |
|----|--|--|
| | Planned Activities | The program distributes fresh produce from local farms to homeless shelters, soup kitchens, and food banks. Funds would be used to employ a part-time staff person to drive the Mobile Farmer's Market truck and a part-time staff to enhance marketing and fundraising efforts. Funds would also be used to purchase on-site refrigeration. |
| 9 | Project Name | The Salvation Army (Capital City Region) - Choice Shopping and Nutrition Pantry Expansion Initiative |
| | Target Area | County-Wide |
| | Goals Supported | Provide Public Services |
| | Needs Addressed | Provide Public Services |
| | Funding | CDBG: \$30,000 |
| | Description | Expansion of personnel to process the increased intake in pantry items. |
| | Target Date | 1/31/2025 |
| | Estimate the number and type of families that will benefit from the proposed activities | There is a quantifiable increase in the level of existing service. Currently 1,202 persons are served. It is proposed that 1,382 persons will be served. |
| | Location Description | The Choice Shopping and Nutrition Pantry is located at The Salvation Army (Capital City Region) building |
| | Planned Activities | TSA needs to expand its personnel to process the increased intake in pantry items. Monies would be used to help support the salaries for the full-time warehouse coordinator (existing position) and the full time food pantry coordinator (existing position, but upgraded to meet the expanded number of appointments). |
| 10 | Project Name | Catholic Charities of the Diocese of Harrisburg - Mental Health Expansion Project |
| | Target Area | County-Wide |
| | Goals Supported | Provide Public Services |
| | Needs Addressed | Provide Public Services |
| | Funding | CDBG: \$25,000 |
| | Description | The project will directly support the creation of one (1) Full time Case Worker that will serve Lourdeshouse Maternity Home and the Capital Region Outpatient Counseling office. |

| | | |
|-----------|--|---|
| | Target Date | 1/31/2025 |
| | Estimate the number and type of families that will benefit from the proposed activities | Currently there are 217 persons being served. There is a quantifiable increase in the level of existing services to 277. |
| | Location Description | Location will primarily be the Lourdeshouse Maternity Home and the Capital Region Outpatient Counseling Office. |
| | Planned Activities | Funds would be used for a full time caseworker (new position) that will serve the Lourdeshouse Maternity Home and the Capital Region Outpatient Counseling office. This new position is estimated to provide Catholic Charities counselors the additional time to serve up to 60 new clients in one year. 95% of the clients are from Dauphin County. |
| 11 | Project Name | Evolve Youth Trades Academy - Evolve School to Work Expansion |
| | Target Area | Geographic Areas of Need |
| | Goals Supported | Promote and Support Economic Development Provide Public Services |
| | Needs Addressed | Provide Public Services |
| | Funding | CDBG: \$24,865 |
| | Description | The program prepares students for the workforce or a direct pathway into an apprenticeship program. Students have the opportunity to earn and learn as they develop their skills. |
| | Target Date | 1/31/2025 |
| | Estimate the number and type of families that will benefit from the proposed activities | Approximately 60 families or individuals will benefit directly or indirectly from this activity. |
| | Location Description | The location of the program will be in the Steelton Highspire High School |

| | | |
|-----------|--|--|
| | Planned Activities | The program prepares students for the workforce or a direct pathway into an apprenticeship program. Students have the opportunity to earn and learn as they develop their skills. The funding would be used to provide necessary materials, including instructional fees, equipment, provision for transportation, capacity building opportunities. The project would provide services to residents in the Steel High School District. |
| 12 | Project Name | Mid-Penn Legal Services - MidPenn Justice and Equity Center |
| | Target Area | County-Wide |
| | Goals Supported | Provide Public Services |
| | Needs Addressed | Provide Public Services |
| | Funding | CDBG: \$8,600 |
| | Description | MPLS mission is to increase diversity, equity, and inclusion within the organization which will improve outcomes for clients, the communities served and staff |
| | Target Date | 1/31/2025 |
| | Estimate the number and type of families that will benefit from the proposed activities | The existing number of persons benefiting from the services of Mid-Penn Legal Services is 1316. It is anticipated that up to 2000 persons will be assisted. |
| | Location Description | Services will be conducted at the Mid-Penn Legal Services office. |
| | Planned Activities | Funds will be used to develop the framework to enable successful implementation and on-going achievement of the identified goals with a diversity, equity, inclusion and belonging lens. Funds would also be used to develop a logo and brand identity within Mid-Penn Legal Services for the newly established Justice and Equity Center. |
| 13 | Project Name | Shalom House - Transformation Center |
| | Target Area | County-Wide |
| | Goals Supported | Promote and Support Economic Development Provide Public Services |
| | Needs Addressed | Provide Public Services |
| | Funding | CDBG: \$20,000 |
| | Description | To provide in-house mental health services to the residents of Shalom House through the social work position |

| | | |
|-----------|--|--|
| | Target Date | 1/31/2025 |
| | Estimate the number and type of families that will benefit from the proposed activities | Approximately 50-75 families and or individuals with benefit directly or indirectly from this activity. |
| | Location Description | All services will be provided at the Shalom House, Harrisburg, PA |
| | Planned Activities | The number of women and children facing homelessness continues to increase. The requested funding will help to support the salary of an in-house social worker to help the ladies with mental health issues and will help reduce recidivism and provide the residents of the Shalom House with the tools needed to help them manage their daily stressors independently. |
| 14 | Project Name | Latino Hispanic American Community Center - Bilingual Adult Literacy and Training Program |
| | Target Area | County-Wide |
| | Goals Supported | Provide Public Services |
| | Needs Addressed | Provide Public Services |
| | Funding | CDBG: \$30,000 |
| | Description | Support for a bilingual training specialist |
| | Target Date | 1/31/2025 |
| | Estimate the number and type of families that will benefit from the proposed activities | It is anticipated that 690 individuals will be directly or indirectly impacted by this project. |
| | Location Description | Trainings would be offered in the Colonial Park area along with the LHACC facility and possibly in Steelton at a facility |

| | | |
|---------------------------|---|---|
| | Planned Activities | Funds would be used to support the bilingual training specialist who will develop and implement quality of life senior programming and workforce development programs, including basic and intermediate English as a Second Language, financial literacy, and skill building programs in partnership with related business core functions, and programs provided by medical affiliates. The initial phase of this project, the focus will be on the implementation of Adult Literacy - Basic English as a Second Language (ESL); intermediate ESL; and taking the Sharing Wisdom Level to the next phase. |
| 15 | Project Name | Habitat for Humanity - Critical Home Repair |
| | Target Area | County-Wide |
| | Goals Supported | Improve Existing Housing Stock |
| | Needs Addressed | Access to Quality, Affordable Housing |
| | Funding | CDBG: \$20,000 |
| | Description | The Critical Home Repair Program allows Habitat to continue it's mission of eradicating poverty housing. |
| | Target Date | 1/31/2025 |
| | Estimate the number and type of families that will benefit from the proposed activities | Approximately 325 persons/families will benefit directly from this project |
| | Location Description | Homes will be located within Dauphin County; however, outside of the City limits. |
| Planned Activities | Grant funds will be used for salaries of the following positions: Construction Project Officer, Construction Project Coordinator, Operations Director and Family Service Coordinator. | |
| 16 | Project Name | 2023 CDBG Program Administration |
| | Target Area | |
| | Goals Supported | Planning/Administration |
| | Needs Addressed | Planning and Administration |
| | Funding | CDBG: \$76,977 |
| | Description | Program Admin funds for CDBG |
| | Target Date | 6/30/2024 |

| | | |
|-----------|--|---|
| | Estimate the number and type of families that will benefit from the proposed activities | N/A |
| | Location Description | N/A |
| | Planned Activities | Program Administration of CDBG Program |
| 17 | Project Name | Section 108 Unprogrammed Funds |
| | Target Area | |
| | Goals Supported | Promote and Support Economic Development |
| | Needs Addressed | Planning and Administration |
| | Funding | CDBG: \$6,000 |
| | Description | Unprogrammed Funds Section 108 |
| | Target Date | |
| | Estimate the number and type of families that will benefit from the proposed activities | N/A |
| | Location Description | N/A |
| | Planned Activities | |
| 18 | Project Name | 2023 Home Program Administration |
| | Target Area | |
| | Goals Supported | Improve Existing Housing Stock |
| | Needs Addressed | Access to Quality, Affordable Housing |
| | Funding | HOME: \$50,678 |
| | Description | Funds to support the administration of the HOME program |
| | Target Date | 6/30/2024 |
| | Estimate the number and type of families that will benefit from the proposed activities | |

| | | |
|-----------|--|--|
| | Location Description | |
| | Planned Activities | |
| 19 | Project Name | First Time Homebuyers Program |
| | Target Area | County-Wide |
| | Goals Supported | Increase Homeownership |
| | Needs Addressed | Access to Quality, Affordable Housing |
| | Funding | HOME: \$175,750 |
| | Description | Program to assist 1st time homebuyers with closing costs or down payment assistance |
| | Target Date | 6/30/2024 |
| | Estimate the number and type of families that will benefit from the proposed activities | Approximately 40-50 families will benefit from this activity. However, this number can fluctuate depending on the housing market |
| | Location Description | Homes will be within Dauphin County, outside of the Harrisburg City Limits |
| | Planned Activities | Support for first time homebuyers in the way of closing costs or down payment assistance. |
| 20 | Project Name | CHDO Reserve |
| | Target Area | Geographic Areas of Need |
| | Goals Supported | Increase Homeownership |
| | Needs Addressed | Access to Quality, Affordable Housing |
| | Funding | HOME: \$280,356 |
| | Description | Funding to support CHDO for the construction of affordable housing |
| | Target Date | 1/31/2025 |
| | Estimate the number and type of families that will benefit from the proposed activities | 6 Families will benefit from newly constructed homes in Steelton, PA |
| | Location Description | Frank S. Brown Boulevard, Steelton, PA |
| | Planned Activities | Construction of 6 affordable townhomes in Steelton, PA |

AP-50 Geographic Distribution – 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

Although a consideration when evaluating grant applications, Dauphin County does not target its investments geographically so as to maximize access to program funds to municipalities within the County as well as to allow for flexibility to address projects as they may become priorities. Due to limited funding, the County tries to be cognizant of the fact that focusing limited funds on a specific geographic area on the front end may exclude other populations from benefitting from the funds. The annual CDBG application process requires projects to be located in low-to-moderate income service areas or serve low-to-moderate income beneficiaries based on income surveys. This ensures that funds are directed to areas of low-income and responsive to current needs as expressed in CDBG applications. The continued trend of need and investments continues to show the majority of infrastructure funds invested in the rural/underbuilt Northern Dauphin County while Public Service dollars continue to be most needed and invested in Southern Dauphin County.

Infrastructure: Investments in infrastructure are considered investments in geographic areas of need due to having defined geographic boundaries and limits.

Northern Dauphin County - Williamstown Borough - 4.6%

Northern Dauphin County - Elizabethville Borough - 13.0%

Northern Dauphin County - Middle Paxton Township - 6.7%

Northern Dauphin County - Halifax Borough/Township - 41.1%

Northern Dauphin County - Lykens Borough - 20.8%

Southern Dauphin County - Highspire Borough - 13.6%

Public Service: Investments in public service are considered county-wide due to potential beneficiaries coming from throughout the county.

100% of applicant entities are located in Southern Dauphin County. However, many of the public service activities are open to qualified beneficiaries throughout Dauphin County including individuals in Northern Dauphin County.

HOME investments range from the Frank S. Brown Boulevard Town Home Project Phase II in Steelton to

the county-wide First-Time Homebuyer Program.

Geographic Distribution

| Target Area | Percentage of Funds |
|--------------------------|----------------------------|
| Geographic Areas of Need | 84 |
| County-Wide | 16 |

Table 8 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

Despite the fact that the County does not consider geography a main driver of CDBG/HOME investment decisions, the 2023 planned investments do follow a rationale of investing in infrastructure in the underdeveloped northern end of the County, investing in more limited infrastructure in the southern end, and investing in public services that cover LMI service areas or LMI limited clientele in areas throughout the county.

Discussion

Affordable Housing

AP-55 Affordable Housing – 91.220(g)

Introduction

With the use of HOME funds, Dauphin County plans to address affordable housing needs by continuing to invest in the successful First Time Homebuyer Program and completing the Frank S. Brown Boulevard Affordable Town Home Project. CDBG funds will further support the Habitat for Humanity Critical HOME Repair Program. Dauphin County will also invest CHDO set-aside funds and reserve funds typically allocated to the Dauphin County HOME Rehabilitation Program to completing the FSB project.

Additionally, the county will re-prioritize older, unspent HOME funds to invest in additional new housing construction projects. It is important to note that due to the availability of historic amounts of non-CDBG/HOME funds to address affordable housing, FY2023 investments focus on avoiding duplication of other programs and maximizing leveraging. This includes freezing the 2023 HOME investment to the county HOME Rehabilitation Program in favor of new housing production due to receiving \$2.8 million in state DCED funds for the same purpose. Likewise, local funds like the Affordable Housing Trust Fund and Local Share (Gaming) Grant have invested heavily in new affordable housing construction while HOME-ARP funds are planned to be used for homelessness/transitional housing in conjunction with the City of Harrisburg. A breakdown of investments--both HUD and non-HUD--are as follows:**HOME/CDBG FUNDED AFFORDABLE HOUSING: Affordable Housing New Construction - Frank S. Brown Boulevard Project** - to be funded with 2023 HOME Funds.

Affordable Housing New Construction - Additional Projects Currently Being Identified- to be funded with prior unspent HOME funds. **Affordable Homeownership - First-Time Homebuyer's Program** - to be funded with 2023 HOME funds and 2023 local Affordable Housing Trust Funds. **Affordable Housing Home Rehabilitation - Habitat for Humanity Critical Home Repairs Program** - to be funded with 2023 CDBG funds.**OTHER FUNDED AFFORDABLE HOUSING: Affordable Housing New Construction - Sycamore Homes, 6th Street Lofts, Savoy Project** - to be funded with local Affordable Housing Trust funds, Local Share Grant funds, private dollars, and state/federal grants. **Affordable Housing Home Rehabilitation - Dauphin County Home Rehabilitation Program** - to be funded with PA State Whole-Home Repairs Program funds. **Homelessness - HOME-ARP** - To be funded with HOME-ARP funds.

| One Year Goals for the Number of Households to be Supported | |
|--|----|
| Homeless | 0 |
| Non-Homeless | 96 |
| Special-Needs | 0 |
| Total | 96 |

Table 9 - One Year Goals for Affordable Housing by Support Requirement

| One Year Goals for the Number of Households Supported Through | |
|--|---|
| Rental Assistance | 0 |

| One Year Goals for the Number of Households Supported Through | |
|--|-----|
| The Production of New Units | 46 |
| Rehab of Existing Units | 40 |
| Acquisition of Existing Units | 50 |
| Total | 136 |

Table 10 - One Year Goals for Affordable Housing by Support Type

Discussion

Production of New Units using HOME Set Aside Funds: Frank S. Brown Boulevard Affordable Townhome Project. Investment of older, unspent HOME funds in additional projects.

Rehab of Existing Units using previous HOME-Rehab Funds and State DCED Whole-Home Repairs Funds: Home Rehabilitation Program

Acquisition of Existing Units using HOME funds: Dauphin County First Time Homebuyers Program

AP-60 Public Housing – 91.220(h)

Introduction

Public housing in Dauphin County is managed by the Housing Authority of the County of Dauphin. The Housing Authority manages 10 public housing facilities containing 715 units of housing. The HA maintains an extensive waiting list for units. One of the biggest issues facing the HA is the fact that there is not enough affordable rental housing in the County to permit residents to transition from public housing into rental housing. Further, units that once were available to Housing Choice Voucher holders are no longer available as market conditions became such that properties were sold to owners that were unwilling to maintain the units as affordable housing and unwilling to accept a voucher. The Housing Authority is currently sitting on 75 HCV's without a participating landlord.

Additionally, the HA's public housing facilities are somewhat geographically concentrated in and around Steelton. While this does allow for the provision of housing to low-income populations concentrated in the urbanized communities to the south of Harrisburg, it prevents housing opportunities for lower income populations in other geographic areas of the county where additional opportunities and resources may be available.

Actions planned during the next year to address the needs to public housing

While the 2023 Action Plan does not include a direct allocation to the Housing Authority of the County of Dauphin (HACD), the HACD will utilize previously awarded 2022 CDBG funds to support a new Family Self-Sufficiency Service Coordinator. This position manages the Family Self Sufficiency Program--a special program inside of the Housing Choice Voucher Program--and act as a case manager. The program is designed to foster self-sufficiency for families in public housing to facility homeownership, financial literacy, and other supports to promote upward mobility. The Dauphin County Office of Community and Economic Development will further remain engaged with two staff members sitting on the HACD Board of Commissioners. Public Service investments in 2023 further support a multitude of social services and workforce development programs that support underserved individuals including those that qualify for public housing. The Public Service activities invested in have the goals of both meeting basic needs and providing resources to promote self-sufficiency and upward mobility. Dauphin County also plans to continue its First-Time Homebuyer Program which supports affordable homeownership, continue its HOME Rehab Program with previously allocated funds, and support HACD in acquiring additional properties to serve as transitional housing.

To combat homelessness, HACD, through its nonprofit Affordable Housing Associates, has purchased 5 transitional single-family homes to place families and plans to house additional families which it will transition to self-sufficiency.

HACD is currently also performing due diligence on purchasing a property for development into accessible, multi-unit senior rental housing. Continued due diligence and potentially acquisition are

projected in the next year.

Actions to encourage public housing residents to become more involved in management and participate in homeownership

The Housing Authority has developed several objectives to meet its goal of strengthening housing outreach, education, investigation, enforcement and operations. Educating residents on the purpose of tenant councils and resident advisory boards, and how their feedback will be used to inform planning efforts, can help encourage participation. The HA will continue to promote self-sufficiency in its programming as a means to encourage asset development. In addition, the HA will provide training, education and incentives to encourage residents to care for their property.

The HA will also continue to promote and encourage participation in its homeownership program, which has been successful, but has seen low levels of participation.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

The PHA is not troubled.

Discussion

AP-65 Homeless and Other Special Needs Activities – 91.220(i)

Introduction

There are a variety of service providers in the County that provide services for special needs populations in addition to those provided through County departments and services. Supportive housing needs are typically provided when there is a risk of homeless, however, there are several services provided for certain populations to help with supportive housing needs. These populations primarily consist of the elderly, mentally disabled and persons recovering from addiction. The County as well of many other groups and organizations, such as non-profits and faith-based groups, are the primary providers of these services. The Dauphin County COC receives ESG Grants from the State DCED and assists a multitude of individuals having different needs and the Department of Human Services coordinates and delivers multiple programs serving homeless and other special needs activities. New in 2023 is the addition of the HOME-ARP program, which will provide separate HOME investments in transitional and supportive housing for qualifying populations. These investments are separate from the 2023 HOME allocation outlined in this plan. Dauphin County is currently coordinating with the City of Harrisburg and Capital Area Coalition on Homelessness to leverage HOME-ARP dollars to maximize investments in identified housing and supportive services projects consistent with the county's approved HOME-ARP Allocation Plan. In addition, Dauphin County, through its Housing Authority and its nonprofit, have successfully acquired 5 single-family homes to serve as transitional housing and is further supporting other homeless sheltering and housing including the Veterans Outreach of Pennsylvania Veterans Tiny Home Project and other supportive service providers.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

All HUD funded agencies affiliated with CACH collaborate in a community wide effort for Coordinated Entry including connection with various street outreach staff and providers such as Dauphin County Crisis Intervention and the Projects for Assistance in Transition from Homelessness (PATH) funded outreach staff, as well as collaboration with the Downtown Daily Bread which offers a free noon meal as well as a homeless drop-in center.

The Dauphin County CoC Coordinated Entry System is designed to assess the unique needs, barriers and strengths of persons experiencing housing crises and then refer them to resources, services and housing best suited for addressing those needs and barriers. The CES is intended for street homeless, persons in an emergency shelter, persons attempting to flee domestic violence, and persons exiting institutions where the institutional stay was 90 days or less and the person was homeless prior to institutionalization. When households or individuals present to the Continuum, the household is assessed using a vulnerability index to determine the type of housing intervention that will most

adequately address its need. Data collected in the HOME-ARP plan shows that street outreach served 788 homeless individuals in 2022. CARES Act funding was critical in providing this scope of outreach. However, with CARES Act funds dwindling, Dauphin County looks to invest HOME-ARP dollars in supportive services that may include outreach with the goal of maintaining current levels of outreach.

Dauphin County further completed a needs analysis as part of its HOME-ARP Allocation Plan which it is utilizing to make investments in housing to combat homelessness through development of affordable rental housing and supportive services. This is reinforced by data found in the 2023 Dauphin County Housing Study as well.

Addressing the emergency shelter and transitional housing needs of homeless persons

The CoC's HMIS system captures Point in Time data, Systems Performance Measures and Coordinated Entry data to evaluate and understand the emergency needs of persons in the County experiencing homelessness. While no longer a priority at the federal level, there are transitional housing needs that still exist in the County, in addition to robust case management services. Dauphin County does not receive Emergency Solutions Grant funding to directly support emergency shelter and transitional housing needs in the County; however, the information generated by the HMIS will be used to inform Annual Plan goals as well as CDBG and HOME/HOME-ARP budget and planning processes.

Dauphin County plans to further utilize emergency shelter referrals, 2-1-1, and street outreach programs to identify beneficiaries of HOME-ARP supportive services and housing. This is in addition to continuing investments in purchasing single-family dwellings for use as transitional housing in partnership with the Housing Authority of the County of Dauphin/Affordable Housing Associates of Dauphin County.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

Case Management is a critical component to ensuring that homelessness is rare and brief. All Dauphin County HAP funded Emergency Shelters have case managers on-site who provide support to participants. Goal plans are developed that link participants to necessary mainstream benefits and focus heavily on housing plans. Case managers work with participants on goals that remove barriers to gaining stable housing.

As the Dauphin County homelessness response system's "Front Door", Coordinated Entry is designed to streamline access and referral to the other components of the system to shorten the amount of time

and number of referrals standing between homeless households and housing assistance. The solution to homelessness is a collaborative response to creating new housing opportunities while increasing economic opportunities to foster stability. Rapid Rehousing is the newest housing model that provides move-in financial assistance, short-term rental assistance, and case management services to help homeless consumers find rental housing and work with case managers to stabilize in their new homes.

Rapid Rehousing has proven to be an effective method of helping families move into their own permanent housing. Case managers provide support in locating appropriate housing, then short-term financial assistance is provided to assist with deposits, first month's rent, and subsequent month's rent for a limited time. This support helps families in obtaining and maintaining permanent housing.

Permanent Supportive Housing similarly provides rental assistance and case management but for the most vulnerable, including chronically homeless persons. Assistance, however, is not time-limited, and high-need consumers can permanently remain in PSH units if they choose. Along with emergency shelter and transitional housing, RRH and PSH round out a suite of housing and service options to address housing crisis and instability for consumers of all needs.

Dauphin County also continues to invest local Affordable Housing Trust Fund dollars in acquiring transitional single-family homes in partnership with the Housing Authority and recently graduated its first family into homeownership.

Dauphin County has also invested 2022 CDBG funds in the Housing Authority's Family Self-Sufficiency Manager and plans to invest \$375,000 in HOME-ARP funds into homeless supportive services.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.

Dauphin County has a number of services to assist low-income individuals and families avoid becoming homeless. CCU is the recipient of rental assistance funds that are used to provide financial assistance for both security deposits for those moving into their own apartment and for those who already have rental housing but are in arrears on their rent. Funds are used to ensure that housing can be maintained after the assistance is provided.

Providers will be working toward the goal of enabling people at greatest risk of homelessness to maintain permanent housing through targeted prevention and diversion activities. When homelessness cannot be avoided, Coordinated Entry and providers will connect people with appropriate resources to

stabilize them in housing as quickly and effectively as possible.

Homeless Prevention efforts are supported through Dauphin County Mental Health/Autism/Developmental Programs (MH/A/DP) recently collaborated with Dauphin County's Local Lead Agency (LLA), CACH and several other agencies working with non- elderly individuals with disabilities to participate in an 811 Project-based Rental Assistance Memorandum of Understanding to identify persons eligible for and in low income subsidized permanent supportive housing.

The target population for the 811 Program includes persons with extremely low income at or below 30% AMI. LLAs will identify and screen individuals within their service area who are currently residing in institutional settings as well as those in home and community-based residential settings, for interest to relocate into community-based housing units that receive assistance through the 811 Program.

In 2023, Dauphin County will continue investments in home rehabilitation to prevent property conditions from placing LMI families out of their homes (namely through the state Whole-Home Repairs Program), will invest in the Dauphin County First-Time Homebuyer Program to place LMI families in their first homes, and will complete construction of 6 additional affordable townhomes for purchase by LMI families. In addition, \$213,465 will be invested in Public Services many of which provide resources that keep families/individuals from becoming homeless including job training, food security, and bilingual case management services.

Discussion

AP-75 Barriers to affordable housing – 91.220(j)

Introduction:

As part of the development of this plan, information provided by the recently completed Assessment of Fair Housing was incorporated into the document. This included the barriers to affordable housing which were identified as part of that assessment. The barriers that were identified during that assessment include:

- Lack of affordable, accessible housing in a range of unit-sizes.
- Lower employment rates and wages for certain members of the protected classes reduce housing choice. Non-White households were less likely to be homeowners and are more likely to have been denied a mortgage than White households. Moderate levels of segregation exist in Dauphin County and members of the protected classes are more likely to live in R/ECAPs. Zoning Ordinances in select municipalities are at higher risk for discrimination and restricting housing choice for members of protected classes

This study is supplemented by the independently commissioned Dauphin County Housing Study completed in December 2022. As a result, Dauphin County is forming a Housing Study Task Force to review the study and implement policy recommendations. Dauphin County further plans to address barriers to affordable housing simply by ramping up investment in production of affordable housing units due to the barriers to affordable housing presented in the current real estate market.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

Consistent with the Fair Housing Action Plan that was approved as part of the 2022-2026 Consolidates Plan, actions planned for 2023-24 include:

- Include a map of the Comprehensive Opportunity Index future HOME application processes and strongly encourage development of new affordable housing in areas of higher opportunity and/or outside of R/ECAPs.
- Revise 2024 CDBG and HOME application forms to require applicants to discuss how their project addresses the fair housing issues identified in the AFH and/or how the proposed project will contribute to furthering fair housing choice.
- Incorporate project scoring sheets into CDBG and HOME application evaluation process to quantify the degree to which projects work to AFFH.
- In 2024, and then on an ongoing basis, HACD will create and maintain a list of "friendly" landlords who have accepted HCVs in the past or have indicated a willingness to accept HCVs. HACD will regularly contact these and other known, non-participating landlords with

information about the program, invitations to public meetings and educational events, and direct inquiries about unit availability

- Where the AI and/or the TCRPC Attainable Housing Work Group determines that local municipal zoning ordinances are inconsistent with fair housing laws, the County will inform community leaders and suspend the award of County-administered competitive federal funds until problem issues are adequately addressed.
- Prioritize transit coverage over ridership to improve transit access for persons with mobility limitations and extend access for more lower income individuals seeking employment opportunities outside of their neighborhoods.
- Within six months, create a page on the County's website for fair housing information and resources for how to report suspected discrimination, and update the webpage as needed.
- Partner with local organizations such as lending institutions, attorneys, realtors, school districts, etc. to distribute informational materials and host a fair housing community forum annually. This should include providing fair housing education to these organizations and engaging with protected classes to help citizens better understand their rights.
- Prepare a Language Access Plan based on the results of the Four-Factor Analysis conducted for the Urban County.
- Revise the Anti-Displacement and Relocation Plan to incorporate the following:
- Provide a clear definition of comparable replacement dwellings regarding environmental conditions and location in respect to public services, utilities, and place of employment. Include contacts for complaints and appeals related to eligibility for assistance due to displacement, as well as the appropriate procedure. Annually train City and HACD staff in fair housing practices, including to refer callers about fair housing to a designated staff person. In addition, train all staff that interact with the public in techniques to communicate with those with language and/or cultural barriers. Continue to support homebuyer education and financial literacy efforts, particularly for R/ECAP residents, racial and ethnic minorities, and persons with LEP.

These initiatives are pending and have yet to be started due to continued administrative limitations caused by administering additional COVID assistance programs.

Discussion:

AP-85 Other Actions – 91.220(k)

Introduction:

Dauphin County continues to use its entitlement funds to provide assistance to activities that meet the underserved needs of the Community and meet National Objectives. Through continued support of the CACH in the completion and implementation of the continuum of care and the recent consolidated planning and HOME-ARP planning processes, Dauphin County has been thoroughly appraised of the needs of the underserved and changes in the needs over time as well as the historic amount of other funds available to meet similar objectives (including ARPA, HOME-ARP, Whole-Home Repairs, Infrastructure Investment and Jobs Act (IIJA) Funds, Infrastructure Bank, and Gaming Grant funds). This will facilitate the use of limited CDBG/HOME funds in an efficient way that supplements rather than supplants other funding programs.

Actions planned to address obstacles to meeting underserved needs

In 2023, Dauphin County plans to invest in CDBG/HOME projects that remove obstacles to underserved needs including:

- Improvements to water, wastewater, and roadway infrastructure in LMI areas, specifically in underserved areas of Northern Dauphin County.
- ADA upgrades at the Middle Paxton Township building to allow those with disabilities to access first floor of building.
- Workforce development programs in the Steelton-Highspire School District to address barriers to employment.
- Residential improvements through the Dauphin County HOME Rehab Program--using state Whole-Home Repairs funds--to address barriers to staying in one's home.
- Supporting homeownership through continued support of the Dauphin County First-Time Homebuyers Program to address barriers to homeownership.
- Completing the Frank S. Brown Boulevard Affordable Townhome Project resulting in 6 new units of affordable housing.
- Reallocating older, unspent HOME funds to additional new affordable housing production.
- Support for social worker position at Shalom House to improve connecting victims of domestic violence to resources.
- Support for bilingual caseworker at the Latino-Hispanic American Community Center (LHACC) to address language barrier in providing public services.
- Invest in mobile food delivery service to bring fresh produce to residents of Steelton Borough - removing transportation barriers to fresh produce.
- Invest in Salvation Army's Choice Shopping and Nutrition Pantry Expansion to meet underserved food insecurity needs.
- Expanding mental health casework through the Catholic Charities of the Harrisburg Diocese to

remove mental health barriers to accessing resources.

Actions planned to foster and maintain affordable housing

Actions planned to foster and maintain affordable housing in 2023 include investments and activities:

- Continue investment in HOME First-Time Homebuyers down-payment assistance.
- Investment of \$2.4 million in PA state Whole Home Repairs Program funds which mirrors the Dauphin County HOME Rehabilitation Program.
- Investment of 2023 HOME Set-Aside Funds and reallocation of prior HOME funds for new affordable housing construction--completion of the Frank S. Brown Boulevard Project and investment in additional projects.
- Continued investment of CDBG funds in Habitat for Humanity Critical Home Repairs Program.
- Supporting Steelton School District Neighboring Academy Project which will build housing for LMI student families.
- Investment of HOME-ARP dollars in CACH-identified transitional and homelessness housing projects.
- Investment of local Affordable Housing Trust Fund dollars and Local Share Grant dollars in 80+ units of affordable rental housing currently under construction.

Dauphin County is further actively engaged with the Dauphin County Housing Authority in purchasing transitional housing for homeless and at-risk-for-homeless families. The HADC currently operates 5 transitional units and the county plans to build on this success to provide additional transitional housing capacity. The HADC has also identified a site for potential development into a senior affordable housing facility with 45+ units. It is currently in the process of identifying funding sources for this project.

Dauphin County further commissioned an independent Housing Study by Urban Partners and is assembling an Housing Study Task Force to implement recommendation found in the study and identify site for future new housing development.

Actions planned to reduce lead-based paint hazards

The County takes the presence of lead based paint hazards seriously, especially considering the age of the County's housing stock. As part of the county's HOME Rehab program, any house that was built before 1978 has a lead based paint (LBP) inspection performed on the residence and if necessary lead-paint abatement is performed. The Housing Rehabilitation Program is utilized as an avenue to mitigate lead-based paint hazards in low- income households and the county anticipates continuing to address LBP on a case-by-case basis as the HOME Rehab program continues. This includes LBP activities that may take place under the Whole-Home Repairs Program. Dauphin County's Lead Based Paint Standards can

be found in its HOME Lead Based Paint Policies and Procedures Manual.

Actions planned to reduce the number of poverty-level families

Through implementation of its housing and community development program Dauphin County expects to reduce the number of families with incomes below the poverty level. Dauphin County has a number of programs that address the needs of households with incomes below the poverty level. Dauphin County supports a number of social service providers that help households with poverty or near poverty level incomes. Some of the Programs and Actions are: TANF, Dauphin County Economic Development, implementation of Section 3 Employment and Contracting policies, HACD providing Section 8 vouchers to households, and the Capitol Coalition on Homelessness which provides emergency and permanent supportive housing.

Specific to the 2023 Action Plan, CDBG funds are planned to be used for the following efforts that help reduce the number of poverty-level families:

- CDBG funds for bilingual caseworker to connect ESL families with resources to keep them out of poverty.
- CDBG funds for a social worker specifically for victims of domestic violence to assist with finding new housing and connecting them to resources.
- CDBG funds for the Habitat for Humanity Critical Home Repairs Program to keep LMI families in their homes.
- CDBG funds for Evolve Youth Trades Academy to support trades training for LMI students in the Steelton-Highspire School District.
- CDBG funds to support the Salvation Army Choice Shopping and Nutrition Pantry Expansion Project and Gemma's Angels Project, both of which provide free food and fresh produce to families experiencing food insecurity.
- CDBG funds for a new mental health caseworker at Catholic Charities to keep those with mental health challenges off of the street.
- Use of prior CDBG funds for the Dauphin County Housing Authority's Family Self-Sufficiency Coordinator.
- Using HOME Funds to produce new affordable housing, support first-time homebuyers, and supplement repairs to LMI homes.
- Investing 2023 HOME and prior HOME funds in construction of new affordable homeowner and rental housing.

Actions planned to develop institutional structure

The Dauphin County Board of Commissioners has delegated the responsibility for the administration of the Dauphin County's CDBG and HOME programs to the Dauphin County Office of Community and Economic Development. The County agencies will participate with other groups where appropriate, such

as it does in planning for homeless and facilitate cooperative problem solving in Dauphin County.

In addition, the County will support efforts to develop new resources and methods for service delivery to meet the changing needs of homeless and special needs populations.

Actions planned to enhance coordination between public and private housing and social service agencies

The County will continue to coordinate with the Housing Authority of the County of Dauphin, Habitat for Humanity, local housing developers and CACH to identify opportunities for new housing development. In addition, efforts to increase participation of private landlords, particularly those in higher opportunity neighborhoods will be encouraged by the County and the Housing Authority. Efforts could include coordinating with real estate professionals, property managers, and others involved with rental housing.

The County participates in the Harrisburg/Dauphin County Continuum of Care and will continue to consult with the CoC on homelessness issues including emergency shelter, permanent supportive housing and rapid rehousing activities. The largest gap in the delivery of services to low- moderate-income households is the lack of adequate funding to address the housing and community development needs of the County. The County will provide resources to address a variety of housing and service needs in FY 2023 and as such will continue to coordinate and streamline efforts to ensure efficient, effective service delivery. This includes allocating HOME-ARP resources to improve supportive services, including connecting private and public housing with social service agencies.

Discussion:

Through public comment and stakeholder meetings, it is clear that lack of affordable housing and homelessness are major issues that will continue to require substantial resources from both HUD and non-HUD sources. The enormity of the housing and homelessness crisis requires every avenue of support the county can identify.

Program Specific Requirements

AP-90 Program Specific Requirements – 91.220(I)(1,2,4)

Introduction:

As a Grantee of HUD funds, Dauphin County enforces a number of specific program requirements consistent with 24 CFR including policies that ensure CDBG and HOME-funded activities meet minimum standards that preserve the intent of the CDBG/HOME program and ensure proper stewardship of HUD funds. These written policies and procedures are available to the public for inspection at the Dauphin County Office of Community and Economic Development.

Community Development Block Grant Program (CDBG)

Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

| | |
|--|----------|
| 1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed | 0 |
| 2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan. | 0 |
| 3. The amount of surplus funds from urban renewal settlements | 0 |
| 4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan | 0 |
| 5. The amount of income from float-funded activities | 0 |
| Total Program Income: | 0 |

Other CDBG Requirements

| | |
|---|---------|
| 1. The amount of urgent need activities | 0 |
| 2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan. | 100.00% |

HOME Investment Partnership Program (HOME)

Reference 24 CFR 91.220(I)(2)

| | |
|---|----|
| 1. A description of other forms of investment being used beyond those identified in Section 92.205 is | |
| Annual Action Plan | 72 |
| 2023 | |

as follows:

On top of direct HOME investments, Dauphin County is utilizing additional resources to promote new housing construction, address homelessness, and provide affordable housing-related services.

New Housing Construction: The Dauphin County Affordable Housing Trust Fund is investing \$450,000 in three additional affordable housing rental developments (Sycamore Homes, Savoy Project, 6th Street Lofts). The Dauphin County Local Share Grant Program is also investing hundreds of thousands in the aforementioned projects. This is in addition to the HOME investment in the Frank S. Brown Boulevard Project.

First-Time Homebuyer's Program: The Dauphin County Affordable Housing Trust Fund matches HOME Funds that support the First-Time Homebuyers Program which provides \$3,000 in downpayment assistance to LMI first-time homebuyers.

HOME Rehabilitation: Dauphin County will utilize Pennsylvania Whole-Home Repairs Program (WHR) funds in 2023 to continue to provide rehabilitation to LMI households. The amount of be used is \$2.4 million.

Homelessness: Dauphin County is investing \$2.3 million in HOME-ARP dollars into transitional and affordable rental housing and supportive services for homeless and at-risk-for homelessness individuals. Dauphin County has also invested \$300,000 of local Affordable Housing Trust Fund dollars into the purchase of single-family homes by Affordable Housing Associates of Dauphin County to be used as transitional housing. The first family graduated from the first transitional home in 2023.

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

First-time Home Buyer Program: The seller must repay the full amount borrowed when the property transfers ownership. This requirement lasts in perpetuity. Homeowner is required to sign a First Time Homebuyer's Agreement outlining the terms of recapture. In no case will recapture amount exceed the net proceeds of sale.

Home Rehab Program: The seller must repay if the property is sold within the first ten years

according to the following schedule

- First year - 100%
- Second year - 95%
- Third year - 90%
- Fourth year - 85%
- Fifth year - 80%
- Sixth year - 75%
- Seventh year - 70%
- Eighth year - 65%
- Ninth year - 60%
- Tenth year - 55%

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

The resale/recapture guidelines for Dauphin County's HOME Program can be found in HOME Program Guidebook Policies and Procedures Manual. Under Section III 2.b "Qualification of Affordable Homeownership Housing", it states:

(6) The family who receives assistance to become a homeowner is subject to either resale restrictions or recapture to ensure the property's continued affordability in compliance with Section 92.254(a)(5) of the HOME Final Rule.

(7) DCED currently utilizes a policy that requires the recapture of HOME- assistance in the event the homebuyer does not continue to live in the home as their principal residence for the duration of the required affordability period. However, when the only assistance provided is to the developer to assist with construction and/or development costs and there is no direct assistance to the homebuyer, a "resale" requirement must be put in place instead of "recapture".

Under Section III 2.c "Enforcement of Affordability in Homeownership Housing, it states:

(1) The County places a lien on the deed to the home requiring that the County of DCED be notified and be given a first right of refusal to purchase the home in the event of foreclosure or a loan default prior to foreclosure.

(2) If the County repurchases a home previously assisted with HOME and the unit goes into foreclosure, additional HOME funds may be used to keep the unit affordable under certain conditions pursuant to Section 92.254(a)(ii)(9) of the HOME Final Rule. Additional HOME funding may not be used if the mortgage that is in default is a HOME funded mortgage. Total funding for the home (including previously used HOME funds) cannot exceed the maximum HOME investment allowed

under 221 (d)(3). HOME funding can be used to assist a new, low-income homebuyer to purchase the home. [1]

(3) HOME guidelines require that the County declare whether it will use resale or recapture at the time of the commitment of HOME funds to a project. {Ref. HUD Homefires publication Vol. 5 no.5}
Under Section III 7 Recapture of HOME Funds, our policy states:

Recapture of HOME funds is only possible if upon the sale or transfer of an assisted homeowner unit, which is subject to the recapture requirement, there are available funds for recapture after payment of the senior mortgage(s) and payment of required sales expenses, taxes, fees, utilities and other reasonable and legitimate settlement costs.[1] Note: There is no recapture provision allowed for rental housing since any rental housing that does not remain affordable and occupied in compliance with the HOME program requires that all HOME funds provided to assist the property be repaid.

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

The County does not participate in refinancing.

Attachments

Grantee Unique Appendices

**RESOLUTION OF THE BOARD OF COMMISSIONERS
OF THE DAUPHIN COUNTY, PENNSYLVANIA
AUTHORIZING SUBMISSION OF THE 2023 ACTION PLAN
FOR
HOUSING AND COMMUNITY DEVELOPMENT PROGRAMS**

RESOLUTION NO. *6-2023*

WHEREAS, under Title I of the Housing and Community Development Act, the Secretary of the U.S. Department of Housing and Urban Development (HUD) has made grants to the County of Dauphin Pennsylvania, to implement Community Development Block Grant (CDBG) Programs; and

WHEREAS, under Title II of the Cranston-Cruzales National Affordable Housing Act of 1990, as amended, HUD has made grants to the County of Dauphin Pennsylvania, to implement the HOME Program; and

WHEREAS, the County of Dauphin submitted and HUD approved the County's 2022-2026 Consolidated Plan for Community Planning and Development Programs; and

WHEREAS, the County's Action Plan for 2023 is consistent with the goals and objectives established in the 2022-2026 Consolidated Plan; and

WHEREAS, a public hearing was held to review the 2023 Annual Action Plan

NOW, THEREFORE, BE IT RESOLVED by the Board of Commissioners of Dauphin County, Pennsylvania, that:

1. The 2023 Action Plan for the County of Dauphin is hereby approved in all respects.
2. The Chairman of the Board of Commissioners hereby is authorized and directed to submit the Action Plan/ Consolidated Plan to HUD allowing for the inclusion of public comments and the responses to those comments and to provide any supplemental or revised data which HUD may request in connection with its review.
3. The Chairman Commissioner is hereby authorized and directed to execute grant/loan agreements under the CDBG and HOME Programs.

Approved this *10* day of *May*, 2023.

ATTEST:


Stephen Libbert
Dep. Chief Clerk/Chief of Staff

BOARD OF COMMISSIONERS
THE COUNTY OF DAUPHIN


Mike Pries, Chairman


Chad Saylor, Vice-Chairman

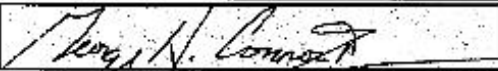

George P. Hartwick, III, Secretary

Grantee SF-424's and Certification(s)

GWR Number: 4040-0004
 Expiration Date: 1/30/2026

| Application for Federal Assistance SF-424 | | |
|---|--|---|
| *1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application | | |
| *2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision | | |
| *3. Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/> | | |
| *2. Date Received: | | *4. Applicant Identifier: |
| <input type="text"/> | | <input type="text"/> |
| *5a. Federal Entry Identifier: | | *5b. Federal Award Identifier: |
| <input type="text"/> | | <input type="text"/> |
| State Use Only: | | |
| *6. Date Received by State: | | *7. State Application Identifier: |
| <input type="text"/> | | <input type="text"/> |
| B. APPLICANT INFORMATION: | | |
| *a. Legal Name: <input type="text" value="Deception County"/> | | |
| *b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text" value="236003943"/> | | *c. UEI: <input type="text" value="B:19X9000000"/> |
| *d. Address: | | |
| * Street: | <input type="text" value="1211 North Front Street"/> | |
| * Street2: | <input type="text" value="Box 14 301-0"/> | |
| * City: | <input type="text" value="Harrisburg"/> | |
| * County/Parish: | <input type="text" value="Deception"/> | |
| * State: | <input type="text" value="PA - Pennsylvania"/> | |
| * Province: | <input type="text"/> | |
| * Country: | <input type="text" value="USA - UNITED STATES"/> | |
| * Zip - Postal Code: | <input type="text" value="17110 1234"/> | |
| *e. Organizational Unit: | | |
| Department Name: <input type="text" value="Deception County EDC"/> | | Division Name: <input type="text"/> |
| *f. Name and contact information of person to be contacted on matters involving this application: | | |
| Prefix: | <input type="text" value="Mr."/> | * First Name: <input type="text" value="George"/> |
| Middle Name: | <input type="text"/> | |
| * Last Name: | <input type="text" value="Conner"/> | |
| Suffix: | <input type="text"/> | |
| Title: | <input type="text" value="Executive Director"/> | |
| Organizational Affiliation: <input type="text"/> | | |
| * Telephone Number: | <input type="text" value="717 786256"/> | Fax Number: <input type="text"/> |
| * Email: | <input type="text" value="gconner@deceptioncounty.gov"/> | |

| Application for Federal Assistance SF-424 | |
|--|--|
| * 9. Type of Applicant 1: Select Applicant Type: | |
| (a) Country Government: | <input type="text" value="Country Government"/> |
| Type of Applicant 2: Select Applicant Type: | <input type="text"/> |
| Type of Applicant 3: Select Applicant Type: | <input type="text"/> |
| * Other (specify): | <input type="text"/> |
| * 10. Name of Federal Agency: | |
| | <input type="text" value="Department of Housing and Urban Development"/> |
| 11. Catalog of Federal Domestic Assistance Number: | |
| | <input type="text" value="14.228"/> |
| OFDA Title: | |
| | <input type="text" value="Community Development Block Grant"/> |
| * 12. Funding Opportunity Number: | |
| | <input type="text"/> |
| * Title: | |
| | <input type="text"/> |
| 13. Competition Identification Number: | |
| | <input type="text"/> |
| Title: | |
| | <input type="text"/> |
| 14. Areas Affected by Project (Cities, Counties, States, etc.): | |
| <input type="text"/> | <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachments"/> |
| * 15. Descriptive Title of Applicant's Project: | |
| | <input type="text" value="FY 2023 HOPE Program"/> |
| Attach supporting documents as specified in agency instructions. | |
| <input type="button" value="Add Attachments"/> | <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/> |

| Application for Federal Assistance SF-424 | |
|---|---------------------------|
| 16. Congressional Districts Of: | |
| * a. Applicant: 004 | * b. Program/Project: 034 |
| Attach an additional list of Program/Project Congressional Districts if needed. | |
| <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/> | |
| 17. Proposed Project: | |
| * a. Start Date: 09/01/2023 | * b. End Date: 05/31/2024 |
| 18. Estimated Funding (\$): | |
| * a. Federal | 1,425,028.00 |
| * b. Applicant | |
| * c. State | |
| * d. Local | |
| * e. Other | |
| * f. Program Income | |
| * g. TOTAL | 1,425,028.00 |
| * 19. Is Application Subject to Review By State Under Executive Order 12372 Process? | |
| <input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on: <input type="text"/> | |
| <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review. | |
| <input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372. | |
| * 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.) | |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| If "Yes", provide explanation and attach | |
| <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/> | |
| 21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001) | |
| <input checked="" type="checkbox"/> ** I AGREE | |
| ** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions. | |
| Authorized Representative: | |
| Prefix: Mr. | * First Name: George |
| Middle Name: | |
| * Last Name: Connor | |
| Suffix: | |
| * Title: Executive Director | |
| * Telephone Number: 7177856250 | * Fax Number: |
| * Email: gconnor@coapha.com | |
| * Signature of Authorized Representative:  | * Date Signed: 05/16/2023 |

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 49-0-0009
Expiration Date: 02/28/2025

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:


1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4601 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1686, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicap; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-516), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§520 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§8001 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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Prescribed by GMR Circular A-132


11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. Those requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the DeWalt-Recon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 48 U.S.C. §974), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-525); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to controlling components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1998 and OMB Circular No. A-135, "Writs of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 108(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

| | |
|---|--------------------|
| SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL | TITLE |
|  | Executive Director |
| APPLICANT ORGANIZATION | DATE SUBMITTED |
| DeWitt County | 05/16/2022 |

SF-434D (Rev. 7-97) Back

| Application for Federal Assistance SF-424 | |
|--|----------------------------------|
| * 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changes/Corrected Application | |
| * 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision | |
| * 3. Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/> | |
| * 3a. Date Received: <input type="text"/> | |
| 4. Applicant Identifier: <input type="text"/> | |
| 5a. Federal Entity Identifier: <input type="text"/> | |
| 5b. Federal Award Identifier: <input type="text"/> | |
| State Use Only: 6. Date Received by State: <input type="text"/> | |
| 7. State Application Identifier: <input type="text"/> | |
| II. APPLICANT INFORMATION: | |
| * a. Legal Name: <u>Deuphin County</u> | |
| * b. Employer/ taxpayer identification number (if any): <u>216003043</u> | |
| * c. UE: <u>84200200000000</u> | |
| 4. Address: | |
| * Street: | <u>0211 North Front Street</u> |
| * Street: | <u>Box 201-01</u> |
| * City: | <u>Harrisburg</u> |
| * County/Parish: | <u>Dauphin</u> |
| * State: | <u>PA: Pennsylvania</u> |
| * Province: | <input type="text"/> |
| * Country: | <u>USA: United States</u> |
| * Zip / Postal Code: | <u>17110 1234</u> |
| e. Organizational Unit: | |
| Department Name: | <u>Deuphin County BDC</u> |
| Division Name: | <input type="text"/> |
| f. Name and contact information of person to be contacted on matters involving this application: | |
| * Title: | <u>Exec</u> |
| * First Name: | <u>George</u> |
| * Middle Name: | <input type="text"/> |
| * Last Name: | <u>Conrad</u> |
| * Suffix: | <input type="text"/> |
| * Title: | <u>Executive Director</u> |
| Organizational Affiliation: <input type="text"/> | |
| * Telephone Number: | <u>7177836230</u> |
| * Fax Number: | <input type="text"/> |
| * Email: | <u>gconrad@deuphincounty.gov</u> |

| Application for Federal Assistance SF-424 | |
|--|---|
| * 9. Type of Applicant 1: Select Applicant Type: | |
| <input type="text" value="County Government"/> | |
| Type of Applicant 2: Select Applicant Type: | |
| <input type="text"/> | |
| Type of Applicant 3: Select Applicant Type: | |
| <input type="text"/> | |
| * Other (specify): | |
| <input type="text"/> | |
| * 10. Name of Federal Agency: | |
| <input type="text" value="Department of Housing and Urban Development"/> | |
| 11. Catalog of Federal Domestic Assistance Number: | |
| <input type="text" value="14.239"/> | |
| CDA Title: | |
| <input type="text" value="HOME Investment Partnerships Program"/> | |
| * 12. Funding Opportunity Number: | |
| <input type="text"/> | |
| * Title: | |
| <input type="text"/> | |
| 13. Competition Identification Number: | |
| <input type="text"/> | |
| * Title: | |
| <input type="text"/> | |
| 14. Areas Affected by Project (Cities, Counties, States, etc.): | |
| <input type="text"/> | <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/> |
| * 15. Descriptive Title of Applicant's Project: | |
| <input type="text" value="FY 2023 HOME Program"/> | |
| Attach supporting documents or specific agency information. | |
| <input type="button" value="Add Attachments"/> | <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/> |

| Application for Federal Assistance SF-424 | |
|---|---|
| 16. Congressional Districts Of: | |
| * a. Applicant: <input type="text" value="004"/> | * b. Program/Project: <input type="text" value="004"/> |
| Attach or additional list of Program/Project Congressional Districts if needed. | |
| <input type="text"/> | <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/> |
| 17. Proposed Project: | |
| * a. Start Date: <input type="text" value="07/01/2023"/> | * b. End Date: <input type="text" value="06/30/2024"/> |
| 18. Estimated Funding (\$): | |
| * a. Federal: | <input type="text" value="500,000.00"/> |
| * b. Applicant: | <input type="text"/> |
| * c. State: | <input type="text"/> |
| * d. Local: | <input type="text"/> |
| * e. Other: | <input type="text"/> |
| * f. Program Income: | <input type="text"/> |
| * g. TOTAL: | <input type="text" value="500,000.00"/> |
| * 19. Is Application Subject to Review By State Under Executive Order 12872 Process? | |
| <input type="checkbox"/> a. This application was made available to the State under the Executive Order 12872 Process for review on <input type="text"/> | |
| <input type="checkbox"/> b. Program is subject to E.O. 12872 but has not been selected by the State for review. | |
| <input checked="" type="checkbox"/> c. Program is not covered by E.O. 12872. | |
| * 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.) | |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| If "Yes" provide explanation and attach | |
| <input type="text"/> | <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/> |
| 21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001) | |
| <input checked="" type="checkbox"/> ** I AGREE | |
| ** The list of certifications and assurances, or an internet site where you may locate this list, is contained in the announcement or agency specific instructions. | |
| Authorized Representative: | |
| Prefix: <input type="text" value="Dr."/> | * First Name: <input type="text" value="George"/> |
| Middle Name: <input type="text"/> | |
| Last Name: <input type="text" value="Conner"/> | |
| Suffix: <input type="text"/> | |
| * Title: <input type="text" value="Executive Director"/> | |
| * Telephone Number: <input type="text" value="615906250"/> | * Fax Number: <input type="text"/> |
| * Email: <input type="text" value="gconner@leopoldinjury.gov"/> | |
| * Signature of Authorized Representative:  | * Date Signed: <input type="text" value="05/10/2023"/> |

ASSURANCES - CONSTRUCTION PROGRAMS

GMS Number: 4340-0003
Expiration Date: 02/25/2025

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

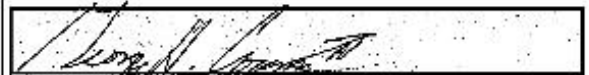
1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that furthers or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4730-4735) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead Based Paint Poisoning Prevention Act (42 U.S.C. §§1801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§230 cd-3 and 290 ee 3), as amended, relating to confidentiality of a coral and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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Standard Form 424D (Rev. 7-87)
Prescribed by GSA Circular A-102

11. Will comply, or has already complied, with the requirements of Titles I and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and Federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 48 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11735; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§439a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(j) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

| | |
|---|---------------------------|
| SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL | TITLE |
|  | General Counsel, Director |
| APPLICANT ORGANIZATION | DATE SUBMITTED |
| Dauphin County | 05/10/2023 |

SF-4240 (Rev. 7/97) Back

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing – The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs..

Drug Free Workplace -- It will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about -
 - (a) The dangers of drug abuse in the workplace;
 - (b) The grantee's policy of maintaining a drug-free workplace;
 - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
 - (a) Abide by the terms of the statement; and
 - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

Page 1

5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted -
 - (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

Anti-Lobbying – To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to, any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph (n) of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESC, and HOPWA funds are consistent with the strategic plan.

Section 3 -- it will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR part 135.



| | |
|---|---------|
| Signature/Authorized Official | 5/19/23 |
| Mike Pries, Chairman Board of Dauphin County Commissioners | Date |

SPECIFIC CDBG CERTIFICATIONS

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
2. **Overall Benefit.** The aggregate use of CDBG funds including section 108 guaranteed loans during program year 2023-2025, (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

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The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance with Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint -- Its notification, inspection, testing and abatement procedures concerning lead-based paint will comply with the requirements of 24 CFR 570.608;

Compliance with Laws -- it will comply with applicable laws.



Signature/Authorized Official
Mike Pries, Chairman
Board of Dauphin County Commissioners

5/19/23

Date

SPECIFIC HOME CERTIFICATIONS

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance – If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

Eligible Activities and Costs -- it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in 92.214.

Appropriate Financial Assistance -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing.



Signature/Authorized Official
Mike Pries, Chairman
Board of Dauphin County Commissioners

5/9/23
Date

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS

A. **Lobbying Certification**

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

B. **Drug-Free Workplace Certification**

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass.-transit authority or State highway department while in operation, State employees in each local unemployment office, and performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).

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6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

**Dauphin County Office of Community and Economic Development
3211 North Front Street, Suite 301-C
Harrisburg, PA 17110**

Check if there are workplaces on file that are not identified here.

The certification with regard to the drug-free workplace required by 24 CFR part 24, subpart F.

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

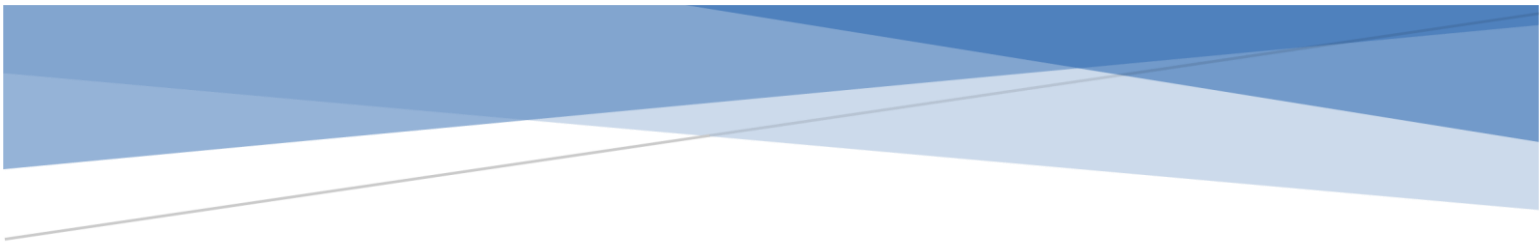
"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

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AP

Consultation

Describe the consultation process including methods used and dates of consultation:

See Appendix A for all Stakeholder Consultation materials. Stakeholder comments received throughout these various sessions and individual meetings are summarized in the Feedback Chart in the next section and are referenced appropriately throughout the Needs Assessment & Gaps Analysis.

Dauphin County engaged in an extensive stakeholder consultation process during June and July 2022. During this time, the County engaged with a variety of shelter and service providers serving each of the qualifying populations and participating in the Continuum of Care; the County Department of Human Services; victim service providers; rental assistance providers; the Dauphin County Housing Authority; and the Capital Area Coalition on Homelessness.

During each of the consultation sessions, County staff focused on identifying the Qualifying Populations and their unmet housing and service needs. A brief Power Point presentation on the HOME-ARP program was presented followed by questions meant to engage participants in identifying unmet needs among the qualifying populations they served.

A summary of the agencies consulted and the feedback generated during the consultations sessions is outlined below.

List the organizations consulted:

| Agency/Org Consulted | Type of Agency/Org | Method of Consultation | Feedback |
|---|--|---|---|
| Capital Area Coalition on Homelessness | Continuum of Care Lead (includes public and private agencies serving all four of the qualifying populations) | Virtual focus group June 14, 2022 10:00 a.m. June 14, 2022 1:00 p.m. July 13, 2022 1:00 p.m. | There is a lack of permanent supportive housing; shelter operations are a need; general case managers that are not bound by rental assistance, funding source or housing type could be useful; Street Outreach services have been critically important and successful in placing people in shelter; respite housing identified as a need; Fair Market Rent is not competitive in current housing market; landlords are no longer willing to accept HCV or other rental subsidy payments; poor rental, credit, criminal histories act as |

| Agency/Org Consulted | Type of Agency/Org | Method of Consultation | Feedback |
|---|--|--|---|
| | | | barriers; year round, low-barrier shelter is not widely available; |
| YWCA | Veterans' groups; homeless service provider (all qualifying populations); domestic violence/human trafficking service provider | Virtual focus group July 13, 2022 10:00 a.m. | Veterans have co-occurring barriers that require ongoing supportive services to maintain stability once housed; transitional housing is a successful model for Veterans and individuals fleeing domestic violence and human trafficking; victims of domestic violence are one of the three subpopulations that spend the longest time homeless; shelter dedicated to victims of domestic violence is needed in the County; |
| Tri-County Community Action Commission | Homeless service provider (all qualifying populations); private agency addressing the needs of persons with disabilities | Virtual focus group June 14, 2022 1:00 p.m. | Transportation is a barrier to access housing in proximity to services and employment opportunities; childcare services are unaffordable and inaccessible; services targeted to a whole family are an unmet need |
| Fair Housing Council | Private organization that addresses fair housing, civil rights | Virtual focus group June 14, 2022 10:00 a.m. | Housing and shelter options for large families are limited; Supportive Service Needs include life skills, particularly for those with IDD, financial literacy, housing stability services, transportation, childcare, literacy, landlord/tenant relationship building; employment services (helping to achieve livable wage, not just paycheck to paycheck; soft skills-how to self-advocate, communicate with coworkers; Rental Agreements often favor the landlord, and many tenants have difficulty understanding and abiding by a lease |

| Agency/Org Consulted | Type of Agency/Org | Method of Consultation | Feedback |
|--------------------------------------|---|---|--|
| Salvation Army | Homeless service provider (all qualifying populations) | Virtual focus group June 14, 2022 10:00 a.m. | Long term intensive case management is a need to ensure stability for households; staff capacity among service providers is a need to offset and avoid future burnout; transitioning households from immediate employment needs to long term career sustainability requires long term planning and service provision, not always associated with housing; |
| United Way | Homeless service provider-homeless and at-risk of homeless qualifying populations; private agency addressing the needs of persons with disabilities | Virtual focus group June 14, 2022 10:00 a.m. | Capacity building among direct service providers is a need/gap in the service system |
| Dauphin County Human Services | Public agency addresses the needs of all four qualifying populations; agency addressing the needs of persons with disabilities | Virtual focus group June 14, 2022 1:00p.m. July 13, 2022 10:00 a.m. | Biggest gap continues to be availability of affordable, accessible units; 100+ individuals/families in hotels due to lack of shelter and housing units; intensive case management is critical to long term success (minimum 18 mos, but often longer is needed up to 5 years); many young adults transitioning out of foster care choose not to continue to participate in the CYS system and lose access to resources to assist with housing and services; capacity and resources to operate a new NCS are not existent-concerns with NCS include isolating individuals and households; Shelter capacity is limited in the northern part of the |

| Agency/Org Consulted | Type of Agency/Org | Method of Consultation | Feedback |
|---|--|---|---|
| | | | County and other rural parts of the County, but DHS and faith-based groups have successfully placed households in hotels and provided services. Transportation is still a barrier in the rural parts of the County, even if organizations and services are available. TBRA is effective when coupled with the right services-both are needed; |
| Dauphin County Housing Authority | PHA | Virtual focus group July 13, 2022 1:00 p.m. | Has capacity to project base some vouchers; There are insufficient units on the market with landlords willing to accept FMR-they are not competitive with actual rent rates; as such vouchers are being returned even after 180 day extensions; Background checks are posing an increasingly high barrier for households, along with poor rental and credit history; Developer entity creating transitional housing units coupled with FSS, services are provided ongoing after a household is placed in housing. This is in partnership with Children & Youth focused on families with children living in hotels |
| Mid-Penn Legal Services | Private organization that addresses fair housing, civil rights | Virtual focus group July 13, 2022 10:00 a.m. | Rental assistance and legal services for eviction prevention can help salvage tenancies and prevent poor rental history from becoming a barrier for future tenancies; |

| Agency/Org Consulted | Type of Agency/Org | Method of Consultation | Feedback |
|---|---|--|--|
| Latino Hispanic Community Center | Homeless service providers (homeless and at-risk qualifying populations); private organization that addresses fair housing, civil rights; | Virtual focus group July 13, 2022 10:00 a.m. | Capacity building for nonprofits in order to provide greater level of service is a need; assistance and services to keep at-risk households housed is an unmet need; ESL services and literacy services area need-capacity building to provide Spanish speakers is needed; |
| Shalom House | Homeless service providers (homeless and at-risk qualifying populations); | Virtual focus group July 13, 2022 10:00 a.m. | Transitional housing models are effective and needed to help households move successfully out of shelter into permanent housing, especially when finding affordable permanent housing is difficult; there is an increase in elderly homeless being priced out of rental units they've occupied long-term |

Summarize feedback received and results of upfront consultation with these entities:

General Themes and Trends that emerged from all of the consultation groups include the following:

- There continues to be a large gap in the number of quality affordable and accessible units available for rent in the County.
- Protected classes are generally over-represented among people experiencing homelessness.
- Landlord engagement and risk mitigation funds could be helpful to recruit and encourage more landlords to rent to special needs populations.
- Shelter capacity is limited (both bed and staff capacity); hotel/motel utilization rates are high due lack of shelter bed capacity
- Barriers to accessing housing for special needs populations/ELI households include poor credit, criminal and rental histories; significant requests for security deposit (sometimes 3- and 4-times monthly rent); landlords requiring households have 3x the rent in monthly income
- Private landlords no longer accepting HCV or other rental assistance. Many private owners of naturally occurring affordable housing (or owners that had accepted HCV or other assistance) are selling the units to owners who no longer accept assistance or who increase the rent significantly, displacing households who cannot find affordable units elsewhere.
- Supportive service needs identified include life skills, financial literacy, housing stability services, transportation, childcare, landlord/tenant relationship building; employment services; LEP services
- Staff capacity among all providers is extremely limited

Public Participation

Describe the public participation process, including information about and the dates of the public comment period and public hearing(s) held during the development of the plan:

Date(s) of public notice: 11/22/2022

Public comment period: start date - 11/29/2022 end date - 12/13/2022

Date(s) of public hearing: 12/5/2022

Describe the public participation process:

Dauphin County published a notice in the Patriot News informing the public of the availability of the HOME-ARP Allocation Plan, as well as the public hearing, on November 22, 2022. A copy of the notice and Plan were also made available on the County's Website. The Plan was available for a 15 day period, and the County conducted a public hearing on December 5, 2022. The public hearing was held in person, with a virtual option. Comments on the Plan were accepted via US mail, e-mail, telephone or at the public hearing.

Describe efforts to broaden public participation:

Dauphin County offered multiple opportunities and methods for interested parties to provide comment and participate in the public participation process (such as virtually, in person, written or verbal comments). Language services for the Limited English Proficient were available upon request. Reasonable accommodations to allow broader participation by LEP persons or persons with disabilities in the hearing either in person or virtually were made available.

Summarize the comments and recommendations received through the public participation process either in writing, or orally at a public hearing:

No comments or recommendations were received.

Summarize any comments or recommendations not accepted and state the reasons why:

N/A-no comments were received.

Needs Assessment and Gaps Analysis

Like many communities, Dauphin County has insufficient inventory of emergency and transitional housing options. Over 40% of those in shelter or transitional housing remain as such for more than six months. Longer lengths of stay may increase the need for beds, as there is less movement for new clients to be able to use those resources. This could also point to a need for additional housing inventory, as fewer available options lead to less turnover in shelter and transitional housing beds. Subpopulations with the longest homeless tenure include those aged 55 and older, victims of domestic violence, those with disabilities.

OPTIONAL Homeless Needs Inventory and Gap Analysis Table

| Homeless | | | | | | | | | | | | | |
|------------------------------|-------------------|------------|-------------|------------|-----------|------------------------------|----------------------|------|---------------|--------------|------------|-------------|------------|
| | Current Inventory | | | | | Homeless Population | | | | Gap Analysis | | | |
| | Family | | Adults Only | | Vets | Family HH (at least 1 child) | Adult HH (w/o child) | Vets | Victims of DV | Family | | Adults Only | |
| | # of Beds | # of Units | # of Beds | # of Units | # of Beds | | | | | # of Beds | # of Units | # of Beds | # of Units |
| Emergency Shelter | 110 | 61 | 130 | 130 | 1 | | | | | | | | |
| Transitional Housing | 76 | 31 | 33 | 33 | 16 | | | | | | | | |
| Permanent Supportive Housing | 55 | 23 | 145 | 145 | 78 | | | | | | | | |
| Other Permanent Housing | 10 | 7 | 27 | 27 | 17 | | | | | | | | |
| Sheltered Homeless | | | | | | 46 | 193 | 38 | 28 | | | | |
| Unsheltered Homeless | | | | | | 1 | 58 | 0 | 5 | | | | |
| Current Gap | | | | | | | | | | | | | |

Suggested Data Sources: 1. Point in Time Count (PIT); 2. Continuum of Care Housing Inventory Count (HIC); 3. Consultation

Dauphin County also has a need for affordable housing. The shortage of units both affordable and available for renter households at or below 30% area median income (AMI) increases housing insecurity and rent pressure on extremely low-income households.

OPTIONAL Housing Needs Inventory and Gap Analysis Table

| Non-Homeless | | | |
|---|-------------------|-----------------|-----------------|
| | Current Inventory | Level of Need | Gap Analysis |
| | # of Units | # of Households | # of Households |
| Total Rental Units | 29,140 | | |
| Rental Units Affordable to HH at 30% AMI (At-Risk of Homelessness) | 3,535 | | |
| Rental Units Affordable to HH at 50% AMI (Other Populations) | 6,720 | | |
| 0%-30% AMI Renter HH w/ 1 or more severe housing problems (At-Risk of Homelessness) | | 4,145 | |
| 30%-50% AMI Renter HH w/ 1 or more severe housing problems (Other Populations) | | 3,495 | |
| Current Gaps | | | 7,145 |

Suggested Data Sources: 1. American Community Survey (ACS); 2. Comprehensive Housing Affordability Strategy (CHAS)

Describe the size and demographic composition of qualifying populations within the PJ's boundaries:

Homeless as defined in 24 CFR 91.5

The number of Dauphin County residents that meet the definition of homeless under 24 CFR 91.5 was derived from the 2022 PIT Count, conducted by the Capital Area Coalition on Homelessness (CACH) on January 26, 2022. The PIT Count identified a total of 423 persons experiencing homelessness in 2022, up from 358 persons (+18.1%) identified in 2021. Of the counted homeless individuals, 359 (84.9%) were sheltered and 64 (15.1%) were unsheltered. In terms of racial and ethnic demographics, 243 (57.4%) of homeless individuals were African American, 145 (34.3%) were White, and 33 (7.8%) were Hispanic. Black/African American individuals comprise 19.2% of Dauphin County's population, and is disproportionately over-represented among the homeless.

In addition, the County Department of Human Services reported over 100 households in hotel/motels due to lack of shelter capacity and affordable housing inventory.

Between January 2021 and May 2022, a total of 2,083 homeless persons were served by the Dauphin County/Harrisburg Continuum of Care.

| Program | Persons Served |
|---|----------------|
| Emergency Shelter | 683 |
| Permanent Supportive Housing and Supportive Housing | 197 |
| Rapid Re-Housing | 201 |
| Transitional Housing | 205 |
| Safe Haven | 9 |
| Street Outreach | 788 |
| Total | 2,083 |

According to the FY2021 Performance Measure Module, 883 individuals experienced homelessness for the first time during the period October 1, 2020-September 30, 2021. This is a 137.4% increase from 2020, which had 372 persons experiencing homelessness for the first time. These measures took into account persons entering emergency shelter, transitional housing, safe haven, and permanent housing programs.

The Dauphin County Department of Human Services reported over 100 individuals/families are staying in hotels due to lack of shelter capacity and inventory of affordable housing units.

At Risk of Homelessness as defined in 24 CFR 91.5

According to 2014-2018 CHAS data, there are 26,958 Dauphin County residents, or 24.1% of residents, with an annual income below 30% of median family income. Renters who are considered disproportionately at-risk numbered 9,440 or 22.9% of renters with household income at or below 30% of the median family income. Additionally, 15,745, or 38.3% of renter households in Dauphin County are considered cost burdened, spending more than 30% of their income on housing costs. Finally, there are 195 renter households that are living in severely overcrowded housing conditions with more than 1.5 persons per room.

Based on HMIS data for the October 1, 2020-September 30, 2021, 29% of leaver households exited to temporary destinations. Exiting to a temporary destination may indicate greater risk of returning to the homeless system.

In addition, Dauphin County assisted 2,903 households with the Emergency Rental Assistance Program since inception through July 7, 2022. Of these households, 59% had income at or below 30% AMI. Households requiring rental assistance may be at greater risk of experiencing homelessness if they cannot sustain ongoing rent and utility payments.

Fleeing, or Attempting to Flee, Domestic Violence, Dating Violence, Sexual Assault, Stalking, or Human Trafficking, as defined by HUD in the Notice

The YWCA Greater Harrisburg served 199 adults and 71 children in its shelter during the period January 1 through December 31, 2021. Of these, 34.2% were Black or African American and 24% were white. Households not reporting race represented 24.3% of the total served; 12.5% indicated Hispanic

ethnicity. During the 2022 PIT Count, 33 individuals in the system were identified as survivors of domestic violence. The YWCA dedicates 16 emergency shelter beds and 5 transitional housing beds for survivors of domestic violence and their families. Additionally, 9 rapid re-housing beds are designated for victims of human trafficking. Stakeholders reported difficulty in reporting the number of victims of human trafficking because victims are reluctant to confirm their status as a victim while receiving assistance.

In 2014, YWCA Greater Harrisburg established PAATH 15 to provide direct services to victims including crisis intervention and response, emergency shelter, case management, advocacy, legal and medical accompaniments, information and referrals, and other services. Dauphin County often serves as a passthrough and destination for trafficking due to its location along major highway intersections, the Pennsylvania Turnpike, and an airport.

Other populations requiring services or housing assistance to prevent homelessness and other populations at greatest risk of housing instability, as defined by HUD in the Notice

Those at greatest risk of housing instability include 5,795 renter households with an annual income less than or equal to 30% AMI and experiencing severe cost burden. This represents 14.1% of all renter households in the County. In addition, 90 renter households earn less than or equal to 50% AMI and live in overcrowded housing conditions.

Other populations at greater risk of housing instability, as identified in the 2022 PIT Count include 93 persons with serious mental illness, 72 persons with a substance use disorder, 38 veterans, 6 transgender/ gender non-conforming/ questioning individuals. The following is a breakdown of these populations' sheltered and unsheltered status:

| | Sheltered | | | Unsheltered | Total |
|--------------------------------------|-----------|--------------|------------|-------------|-----------|
| | Emergency | Transitional | Safe Haven | | |
| Adults with a Serious Mental Illness | 28 | 18 | 9 | 38 | 93 |
| Adults with a Substance Use Disorder | 37 | 9 | 0 | 26 | 72 |
| Adults with HIV/AIDS | 0 | 1 | 0 | 0 | 1 |
| Veterans | 23 | 14 | 1 | 0 | 38 |
| Transgender/Gender Non-Conforming | 3 | 1 | 0 | 1 | 5 |

According to HMIS data, 205 people were living in transitional housing during the period October 1, 2020 through September 30, 2021; of these 131 or nearly 64% were Black, African American, or African. During the same time period, 201 people were receiving rapid rehousing assistance; of these, 70% were Black, African American, or African, again highlighting that this segment of the population is over-

represented among people experiencing homelessness. Nearly 15% were Hispanic. Of households that exited Rapid Rehousing programs during this period, 15% of adult leavers had no cash income, putting these households at greater risk of returning to homelessness if unable to support ongoing housing payments.

During the period October 1, 2020 and April 30, 2021, 77 households exited to temporary destinations and 169 households exited to unknown destinations. Of these, 25% and 20%, respectively, returned to homeless during the period May 1, 2021-September 30, 2021. One percent of households exiting to permanent destinations returned to homelessness. Households receiving temporary assistance and those exiting to temporary destinations are more likely to return to homeless or face greater risk of housing instability than those exiting to permanent destinations.

Identify and consider the current resources available to assist qualifying populations, including congregate and non-congregate shelter units, supportive services, TBRA, and affordable and permanent supportive rental housing (Optional):

The Dauphin County Housing Authority owns and manages more than 815 public housing units throughout the County (excluding the City of Harrisburg) as well as administers 1,050 Housing Choice Vouchers, including VASH, Mainstream and Family Unification vouchers.

The Dauphin County 2022 Housing Inventory Chart (HIC) indicated 237 emergency shelter beds were available, including 59 seasonal beds unavailable after March 2022. In addition, the HIC identified 141 transitional housing beds; 25 Safe Haven beds; 242 Permanent Supportive Housing beds; 105 Rapid Rehousing beds and 65 other permanent housing beds (in the form of tenant-based or project based/SRO voucher assistance).

Due to expiration of eviction moratoria, which offered protection for many low-income renter households, coupled with escalating rents, lack of affordable housing inventory, and continued economic effects of the COVID-19 pandemic, the homeless system in Dauphin County experienced significant strains. It lacks capacity across all systems to address the unmet needs of all qualifying populations. This includes staff capacity, inventory capacity and resource capacity to appropriately address growing needs among all of the QPs. Service providers and shelters alike experience ongoing staffing shortages that contribute to these capacity challenges, but also the housing market and inventory is rapidly changing.

Describe the unmet housing and service needs of qualifying populations:

Homeless as defined in 24 CFR 91.5

HMIS indicated 1295 individuals served by the homeless system during the period October 1, 2020-September 30, 2021. The CACH Coordinated Entry System showed a waitlist of 717 households containing 1,353 people. According to the 2022 HIC, Dauphin County has 242 permanent supportive housing beds, of which most are estimated to be occupied. The County also has 339 emergency shelter,

transitional housing and safe haven beds. This includes 257 year-round beds and 20 overflow beds. This available inventory is insufficient to meet the needs of the population experiencing homelessness.

All stakeholders consulted for this process expressed the same overwhelming need: affordable rental housing. The County collaborates with developers to invest its HOME funds in LIHTC rental housing to expand the inventory of affordable rental units. However, many of those participating in consultations reported that even these units are not affordable to the extremely low-income populations. Further, there is increased competition for fewer affordable units. Already subsidized projects fill quickly and experience very little turnover and long waiting lists for affordable housing and permanent supportive housing. Many permanent supportive providers rely on scattered site privately owned units, and many providers are falling victim to the landlords who are no longer willing to accept assistance or have tenant selection policies that act as barriers for special needs populations.

According to stakeholders, intensive case management and wrap-around supportive services are required as soon as homeless individuals and families are placed in housing, and these services should be provided for at least 18 months to assist in stabilizing them and decreasing their chance of returning to homelessness. While numerous service providers are available in the County, their capacity to provide adequate levels of supportive services are strained due to staff shortages, staff burnout, and insufficient staff wages.

Shelter capacity is limited in the northern part of the County and other rural parts of the County, but the Department of Human Services and faith-based groups have successfully placed households in hotels and provided services until affordable housing options are identified. Low-barrier, year-round shelter is not widely available in the County. In addition, there are no dedicated shelter facilities for youth or LGBTQ+, subpopulations identified as having particular unmet needs.

At Risk of Homelessness as defined in 24 CFR 91.5

According to 2014-2018 CHAS data, the number of total housing units exceeds the number of households in Dauphin County. However, the supply of affordable housing units is insufficient for households earning 0-30% AMI. As a result, these households must reside in housing units that are more costly than what would be considered affordable to these households. Renter households earning 81%+ AMI also do not have enough available units appropriately affordable to them. This leads to many high-earning households residing in housing units that would be affordable to lower income households, including those with income below 30% AMI. The low vacancy rates of rental units affordable to these households is indicative of a strong demand for affordable housing within these ranges. Furthermore, this does not consider additional costs such as transportation, childcare, and accessibility modifications for individuals with disabilities. With 38.3% of all Dauphin County renters considered cost burdened and 14.1% of renter households considered severely cost burdened, these additional costs further exacerbate the limited access to affordable housing in the county. Among extremely low-income households, who represent 23% of renter households in the County, 61% experience severe cost burden. For very low-income renters, who represent nearly 40% of all renters in the County, 47.5% are severely

cost burdened. This further suggests that the supply of affordable options for housing low-income households is insufficient.

| | Total | | Cost burdened | | Severely Cost burdened | |
|--|--------|-------|---------------|-------|------------------------|-------|
| | # | % | # | % | # | % |
| Total Renters | 41,080 | - | 15,745 | 38.3% | 7,970 | 19.4% |
| Very Low-Income Renters (0-50% AMI) | 16,365 | 39.8% | 11,590 | 70.8% | 7,770 | 47.5% |
| 30-50% AMI | 6,925 | 16.9% | 5,065 | 73.1% | 1,975 | 28.5% |
| Extremely Low-Income Renters (0-30% AMI) | 9,440 | 23.0% | 6,525 | 69.1% | 5,795 | 61.4% |

According to stakeholders, supportive services such as affordable childcare, transportation, employment training and housing counseling along with tenant-based rental assistance are in great need for all qualifying populations, but especially those at-risk of homelessness. Stakeholders also report that TBRA is highly effective at providing ongoing housing stability, but only if there is adequate, quality inventory and supportive services for extended periods of time to help promote that stability.

Poor rental history is often identified as a barrier for households accessing affordable households. Rental assistance and legal services for eviction prevention were identified as needed services to help salvage tenancies and prevent poor rental history from becoming a barrier for future tenancies.

Subpopulations identified include LGBTQ+ populations, homeless youth and young adults (18-24). This includes young adults that are transitioning out of foster care and choose not to continue to participate in the CYS system and lose access to resources to assist with housing and services. Due to age and lack of rental history, permanent housing options are limited. Stakeholders reported some providers are master leasing units for youth; however, due to general lack of affordable housing inventory, there is still an unmet need for housing options for youth.

Fleeing, or Attempting to Flee, Domestic Violence, Dating Violence, Sexual Assault, Stalking, or Human Trafficking, as defined by HUD in the Notice

Victims of domestic violence are identified as one of the three subpopulations that spend the longest time homeless, indicating a need for dedicated resources, including shelter. The YWCA Greater Harrisburg reported 152 individuals were turned away in 2021 due to lack of shelter capacity, indicative of the need for additional dedicated shelter space for this qualifying population. During Federal Fiscal Year 2021, 55% of households fleeing domestic violence exited the homeless system to temporary or unknown destinations. This suggests a lack of permanent, affordable options for this qualifying population. In fact, according to the 2022 HIC, only 16 shelter beds and five transitional housing beds

are dedicated for survivors of domestic violence. Only nine Rapid Rehousing units are available for victims of human trafficking. Transitional housing has been identified as a successful model for individuals fleeing domestic violence and human trafficking, particularly when finding permanent affordable housing is difficult; however, stakeholders confirmed the HIC data indicating there are few transitional housing options for this qualifying population in the County. Further, dedicated shelter for victims of domestic violence is needed in the County. Recovery support services are provided through PAATH 15 for survivors, and training is provided to the public and police to identify potential victims.

Other populations requiring services or housing assistance to prevent homelessness and other populations at greatest risk of housing instability as defined by HUD in the Notice

According to HMIS, during the period January 1, 2021-May 1, 2022, 629 individuals exited the homeless system. Of these, 30% exited to temporary destinations, including transitional housing and temporarily staying with friends or family. Households without permanent housing may be more likely to experience returns to homelessness. Even among households exiting to permanent destinations, 40% exited to rentals without ongoing subsidy. Together, these suggest a need for both affordable housing units, as well as tenant based-rental assistance to support extremely low, and low-income households.

Stakeholders reported that these households, without ongoing supportive services, are more likely to experience crises, unexpected financial obligations, or other obstacles that may lead to returns to homelessness. Services such as case management, financial literacy, budgeting, basic life skills, workforce development and training, childcare and transportation, when provided beyond the time limitations of housing and/or rental assistance are needed to promote stability and prevent returns to homelessness. Stakeholders reported these long-term services are not widely available due to lack of financial and staff capacity.

Veterans: There are a total of 121 beds available for veterans according to the 2022 HIC. However, two veterans were determined to be unsheltered during the 2022 PIT, indicating a barrier in accessing these resources or difficulties by service providers in engaging veterans. These barriers may include co-occurring mental health and/or substance abuse disorders, lack of income or rental history, or criminal history. YWCA of Greater Harrisburg provides a number of supportive services for veterans in addition to housing, such as case management, life skills training, employment training, financial planning and assistance, housing counseling, transportation, legal services, and child care services. Stakeholders reported that providing services once veteran households are placed in housing allows continuity of care that is critical to promoting stability.

Persons with Disabilities: Households with a disabled member represent one of three subpopulations with longest length of time homeless. During the Federal Fiscal Year 2021, over 70% of households with a disabled member exited the homeless system to temporary or unknown destinations. For people with disabilities, accessible housing is a continuing and critical need, ranging from individuals in wheelchairs to medical beds and in-home healthcare services in order to maintain housing stability. Overall, there is a severe need for permanent housing that can accommodate persons with disabilities. This typically

includes bathroom equipped with grab bars, installation of handrails, walk-in showers and tubs, along with handicapped accessible doors and cabinets. Medical respite care was identified as a need for individuals discharging from hospital settings. Other needs of this population to maintain housing stability are the same as everyone else: access to employment and accessible public transportation.

Identify any gaps within the current shelter and housing inventory as well as the service delivery system:

According to PA 2-1-1 Counts, 6,726 requests were made for housing and shelter assistance between June 2021 and June 2022 in Dauphin County, or 3.3% of the county’s adult population. This was the third highest rate out of all counties in Pennsylvania. Over 70% of these requests were made regarding rental assistance. While most requests were adequately met, unmet request rates were high for those seeking temporary housing solutions through shelter (4% unmet) and home repair or maintenance needs for low-income homeowners (7% unmet). Home repair/ maintenance includes adaptations for safety and disability access, general upkeep, and weatherization. This suggests an unmet need for supportive services and reinforces stakeholder comments indicating a lack of affordable and accessible housing options in the County.

Since PIT and HIC data only provide a snapshot of persons experiencing homelessness on a single night, a broader picture can be provided through data current presented in Dauphin County’s Annual Performance Report (APR) for FY 2022 (1/1/2021 to 9/30/2022) as of May 2022. Between January 2021 and May 2022, 1,286 households stayed at least one night in emergency shelter (ES), permanent supportive housing (PSH), permanent housing (PH), rapid re-housing (RRH), transitional housing (TH), or safe haven (SH).

| Exits from the Homelessness System | | | |
|---|---|--|-------------------------------------|
| | % Exits to Positive Destinations | # of Households Exited to Positive Destinations | Total # of Households Exited |
| <i>By Household type</i> | | | |
| Adult-only Households | 23.7% | 49 | 207 |
| Households with Children | 31.6% | 123 | 389 |
| Child-only Households | 0.0% | 0 | 4 |
| <i>By Pathway</i> | | | |
| ES | 20.3% | 95 | 468 |
| PSH + PH | 100.0% | 6 | 6 |
| RRH | 34.3% | 12 | 35 |
| TH | 54.2% | 65 | 120 |

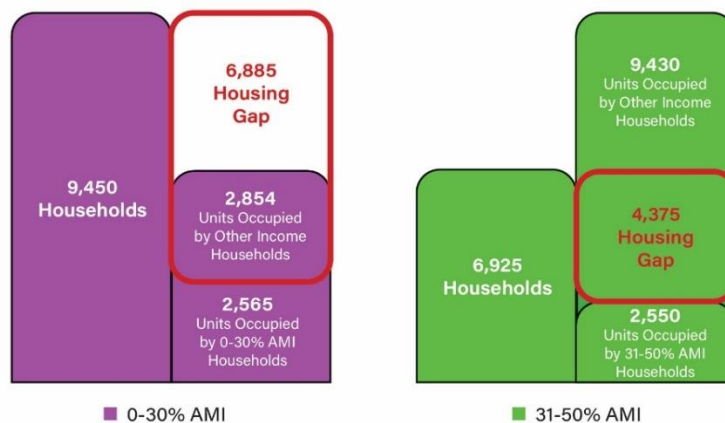
| | | | |
|-----------------------|--------------|------------|------------|
| Safe Haven | - | 0 | 0 |
| All Households | 28.3% | 178 | 629 |

Only 28% of households exiting the homeless system exited to positive housing destinations. This suggests that there is an overall lack of permanent housing resources for all household types that entered the homelessness system, especially for those who were only able to access emergency shelter or rapid re-housing resources.

As indicated, shelter resources (both bed capacity and staff capacity) are insufficient to meet the needs. Specifically, there is a gap for low-barrier year-round shelter resources. Stakeholders reported that street outreach services that have been funded with supplemental CARES Act funding have been critical to identifying unsheltered individuals and placing them in shelter or other temporary housing solutions; however, as these resources dwindle, there will be a gap in the ability to continue to provide this service.

2014-2018 CHAS Data, though outdated, identified 9,450 renter households with income below 30% AMI. For these households, there is a 6,885-unit gap in inventory that is both affordable and available to households in this income tier. For renter households between 31-50% AMI, there is a 4,375 unit-gap in housing in units both affordable and available. This suggests that households are experiencing cost burden and severe cost burden by occupying units that are not affordable to them, putting them at greater risk of housing stability and homelessness.

HOUSING GAP FOR RENTER HOUSEHOLDS IN DAUPHIN COUNTY



Source: 2014-2018 CHAS

ESL services and literacy services are unmet needs in the County. Staff capacity is insufficient to provide adequate services for the limited English proficient. Spanish speakers in particular were identified as not being widely available among service providers.

Under Section IV.4.2.ii.G of the HOME-ARP Notice, a PJ may provide additional characteristics associated with instability and increased risk of homelessness in their HOME-ARP allocation plan. These characteristics will further refine the definition of “other populations” that are “At Greatest Risk of Housing Instability,” as established in the HOME-ARP Notice. If including these characteristics, identify them here:
Dauphin County is not establishing additional characteristics at this time.

Identify priority needs for qualifying populations:

Based on stakeholder consultations and data analysis, rental housing that is affordable and accessible to individuals and households at 0-30% AMI is the priority need. An adequate inventory of deeply subsidized housing for Qualifying Populations is a priority due to low vacancy rates and escalating rental costs. Coupled with this is a priority for rental assistance and supportive services (including case management, legal services and homeless prevention services) to assist the homeless identify and access housing and become stably housed, as well as to prevent homelessness among households who are at greatest risk.

Explain how the PJ determined the level of need and gaps in the PJ’s shelter and housing inventory and service delivery systems based on the data presented in the plan:

In addition to feedback from stakeholders and consultation sessions, the following plans and data sources were consulted to determine needs and system gaps for HOME-ARP qualifying populations:

- American Community Survey (ACS), 2016-2020
- HUD Comprehensive Housing Affordability Strategy (CHAS), 2014-2018
- Capital Area Coalition on Homelessness (CACH) 2022 Point-In-Time Count
- CACH 2022 Housing Inventory County (HIC)
- CACH Coordinated Entry System (CES)
- Harrisburg/Dauphin County CoC Annual Performance Report (APR)
- 2021 System Performance Measures
- United Way of Pennsylvania’s 2-1-1 Counts

To evaluate the size and demographic composition of qualifying populations within its boundaries, the HOME-ARP PJ enlisted the help of the Dauphin County/Harrisburg CoC HMIS Lead to provide data on homelessness and other qualifying populations. The County utilized CHAS, ACS and HIC data to identify the current affordable inventory in the County, as well as to determine the gap in housing availability (over 10,000 units). HMIS data on the percentage of households exiting the homeless system to unknown or temporary destinations (over 70%) and rates of return to homelessness were also used to

determine the availability affordable housing inventory. In addition, the County utilized 2-1-1 requests as well as stakeholder feedback to identify service needs for the County.

HOME-ARP Activities

Describe the method(s) that will be used for soliciting applications for funding and/or selecting developers, service providers, subrecipients and/or contractors:

The Dauphin County Department of Community and Economic Development will invite any Developer/Owner that is looking to apply for funding and/or other resources from the County for the new construction, substantial rehabilitation, and/or preservation of affordable housing to submit a proposal for funding. The proposed activity, including the proposed qualifying population(s) to be served, will be evaluated in accordance with the County's HOME-ARP allocation priorities, preferences and prioritization (if any), and projects that address qualifying populations will be considered. The County is not currently establishing preference or priority as part of its scoring criteria for HOME ARP proposals for funding. Should the County establish preference or priorities, a substantial amendment may be duly publicized and submitted to HUD for review. Applicants for HOME-ARP must meet the minimum program eligibility and threshold requirements. Depending on the nature of the proposed activity, site inspections may be conducted by County staff. An evaluation of the site's feasibility, financial underwriting and developer capacity assessment will be completed and considered as part of the review process.

Describe whether the PJ will administer eligible activities directly:

Dauphin County will not administer and oversee its eligible activities directly. Rather, it will enter into HOME Agreements with developers of affordable rental housing projects, and execute subrecipient agreements with service providers. The County will provide oversight and management of its developer and subrecipient agreements.

If any portion of the PJ's HOME-ARP administrative funds are provided to a subrecipient or contractor prior to HUD's acceptance of the HOME-ARP allocation plan because the subrecipient or contractor is responsible for the administration of the PJ's entire HOME-ARP grant, identify the subrecipient or contractor and describe its role and responsibilities in administering all of the PJ's HOME-ARP program:

Dauphin County will not be distributing any portion of its HOME – ARP administrative funds to a subrecipient or contractor prior to HUD's acceptance of the HOME-ARP allocation plan.

Use of HOME-ARP Funding

| | Funding Amount | Percent of the Grant | Statutory Limit |
|--|-----------------------|-----------------------------|------------------------|
| Supportive Services | \$ 375,000 | | |
| Acquisition and Development of Non-Congregate Shelters | \$ # | | |

| | | | |
|--|---------------------|------|-----|
| Tenant Based Rental Assistance (TBRA) | \$ # | | |
| Development of Affordable Rental Housing | \$ 1,621,510 | | |
| Non-Profit Operating | \$ # | # % | 5% |
| Non-Profit Capacity Building | \$ # | # % | 5% |
| Administration and Planning | \$ 352,325 | 15 % | 15% |
| Total HOME ARP Allocation | \$ 2,348,835 | | |

Describe how the PJ will distribute HOME-ARP funds in accordance with its priority needs identified in its needs assessment and gap analysis:

The HOME-ARP budget was driven by the priority needs identified as a result of stakeholder consultation and data analysis. Dauphin County will distribute 70% of its HOME-ARP allocation for the development of affordable rental housing. An overall lack of affordable rental units was one of the most frequently identified unmet needs among stakeholders. Tight rental markets and high rents make it extremely difficult for housing and service providers to find units that are affordable and in decent condition for their clients. The County is prioritizing the creation of affordable housing units for qualified populations. In addition, the County is allocating approximately 15% of its HOME-ARP funds for supportive services, recognizing that long term supportive services are critical to ensuring stability and success in permanent housing for vulnerable households. HOME – ARP funds will be used for individuals or families from all of the following qualifying populations: homeless; at-risk of homelessness; fleeing or attempting to flee domestic violence, sexual assault, stalking, or human trafficking; other populations where providing assistance would prevent the family’s homelessness or would serve those with the greatest risk of housing instability.

Describe how the characteristics of the shelter and housing inventory, service delivery system, and the needs identified in the gap analysis provided a rationale for the plan to fund eligible activities:

The affordable rental housing inventory in Dauphin County is severely limited, a challenge that existed long before, and has been exacerbated by, the pandemic. There is increased competition for fewer affordable units throughout the County. Privately owned units are no longer available for affordable housing in many places as landlords are no longer willing to accept rental assistance or have tenant selection policies that act as barriers for special needs populations. Shelters lack bed capacity for households experiencing homelessness, and many families are sheltered in hotels which is costly. Due to lack of affordable inventory, it is increasingly challenging to move folks out of shelter into permanent housing. Success in permanent housing is contingent on long-term services; therefore, the County’s emphasis with HOME ARP funds will be to create new units to add to the affordable housing inventory in

the County, while providing support for the wraparound services required to ensure successful, stable housing.

HOME-ARP Production Housing Goals

Estimate the number of affordable rental housing units for qualifying populations that the PJ will produce or support with its HOME-ARP allocation:

It is anticipated that the County's HOME ARP funds will be used to create a minimum of five new units affordable to households at or below 30% AMI.

Describe the specific affordable rental housing production goal that the PJ hopes to achieve and describe how the production goal will address the PJ's priority needs:

It is anticipated that the County's HOME ARP funds will be used to create a minimum of five new units affordable to households at or below 30% AMI. The County's priority goal established by this Allocation Plan is to increase the number of affordable housing units in the County. Providing funds for rental housing production directly supports the advancement of that goal.

Preferences

Identify whether the PJ intends to give preference to one or more qualifying populations or a subpopulation within one or more qualifying populations for any eligible activity or project: Dauphin County will not establish a preference; all qualifying populations will be eligible to apply for assistance under the County's HOME-ARP assisted program(s). Any preferences established in the future will be described in an amendment to this Allocation Plan and will not violate any fair housing, civil rights, or nondiscrimination requirements at the federal, state, or local level, which includes but is not limited to requirements found in 24 CFR 5.105(a).

If a preference was identified, explain how the use of a preference or method of prioritization will address the unmet need or gap in benefits and services received by individuals and families in the qualifying population or subpopulation of qualifying population, consistent with the PJ's needs assessment and gap analysis:

No preferences are established.

Referral Methods

Identify the referral methods that the PJ intends to use for its HOME-ARP projects and activities. PJ's may use multiple referral methods in its HOME-ARP program. (Optional): Dauphin County will use the Coordinated Entry system as an indirect referral source. That is, the CE will be used for intake in order to add the eligible applicant to a HOME-ARP project waiting list, which will be selected from in accordance with the preference and prioritization described above. Coordinated Entry will not be used to admit applicants directly to a HOME-ARP assisted project

If the PJ intends to use the coordinated entry (CE) process established by the CoC, describe whether all qualifying populations eligible for a project or activity will be included in the CE process, or the method by which all qualifying populations eligible for the project or activity will be covered. (Optional):

As indicated above Coordinated Entry will not be used to admit applicants directly to a HOME-ARP assisted project as it does not include all of the qualifying populations. Referrals will be accepted from other sources including emergency shelters, 2-1-1, Street Outreach programs and service providers. All referrals will be placed on the project(s) waiting list and admitted in accordance with the preference and prioritization established earlier in this Plan

If the PJ intends to use the CE process established by the CoC, describe the method of prioritization to be used by the CE. (Optional):

As indicated above Coordinated Entry will not be used to admit applicants directly to a HOME-ARP assisted project, and the CoC CE prioritization will not be utilized.

If the PJ intends to use both a CE process established by the CoC and another referral method for a project or activity, describe any method of prioritization between the two referral methods, if any. (Optional):

As indicated above Coordinated Entry will not be used to admit applicants directly to a HOME-ARP assisted project as it does not include all of the qualifying populations. Referrals will be accepted from other sources including emergency shelters, 2-1-1, Street Outreach programs and service providers. All referrals will be placed on the project(s) waiting list and admitted in accordance with the prioritization described earlier in this plan. Source of referral will not give one applicant preference or prioritization over another.

Limitations in a HOME-ARP rental housing or NCS project

Describe whether the PJ intends to limit eligibility for a HOME-ARP rental housing or NCS project to a particular qualifying population or specific subpopulation of a qualifying population identified in section IV.A of the Notice:

Dauphin County is not establishing limitations on eligibility.

If a PJ intends to implement a limitation, explain why the use of a limitation is necessary to address the unmet need or gap in benefits and services received by individuals and families in the qualifying population or subpopulation of qualifying population, consistent with the PJ's needs assessment and gap analysis:

Dauphin County is not establishing limitations on eligibility.

If a limitation was identified, describe how the PJ will address the unmet needs or gaps in benefits and services of the other qualifying populations that are not included in the limitation through the use of HOME-ARP funds (i.e., through another of the PJ's HOME-ARP projects or activities):

Not applicable.

HOME-ARP Refinancing Guidelines

Dauphin County does not intend to use HOME-ARP funds to refinance existing debt secured by multifamily rental housing in order to rehabilitate the units with HOME-ARP funds. If the County determines that it will use HOME ARP for refinancing, the guidelines for doing so will be in conformance with the HOME-ARP program notice and will be submitted to HUD for review.

Appendix A: Consultation Materials

Appendix B: Citizen Participation

Appendix C: SF424s and Certifications

HOME-ARP



DAUPHIN COUNTY HOME-ARP ALLOCATION PLAN

Dauphin County Department of Community and
Economic Development

Consultation

Describe the consultation process including methods used and dates of consultation:

See Appendix A for all Stakeholder Consultation materials. Stakeholder comments received throughout these various sessions and individual meetings are summarized in the Feedback Chart in the next section and are referenced appropriately throughout the Needs Assessment & Gaps Analysis.

Dauphin County engaged in an extensive stakeholder consultation process during June and July 2022. During this time, the County engaged with a variety of shelter and service providers serving each of the qualifying populations and participating in the Continuum of Care; the County Department of Human Services; victim service providers; rental assistance providers; the Dauphin County Housing Authority; and the Capital Area Coalition on Homelessness.

During each of the consultation sessions, County staff focused on identifying the Qualifying Populations and their unmet housing and service needs. A brief Power Point presentation on the HOME-ARP program was presented followed by questions meant to engage participants in identifying unmet needs among the qualifying populations they served.

A summary of the agencies consulted and the feedback generated during the consultations sessions is outlined below.

List the organizations consulted:

| Agency/Org Consulted | Type of Agency/Org | Method of Consultation | Feedback |
|---|--|---|--|
| Capital Area Coalition on Homelessness | Continuum of Care Lead (includes public and private agencies serving all four of the qualifying populations) | Virtual focus group June 14, 2022 10:00 a.m. June 14, 2022 1:00 p.m. July 13, 2022 1:00 p.m. | There is a lack of permanent supportive housing; shelter operations are a need; general case managers that are not bound by rental assistance, funding source or housing type could be useful; Street Outreach services have been critically important and successful in placing people in shelter; respite housing identified as a need; Fair Market Rent is not competitive in current housing market; landlords are no longer willing to accept HCV or other rental subsidy payments; poor rental, credit, criminal histories act as barriers; year round, low-barrier shelter is not widely available; |
| YWCA | Veterans' groups; homeless service provider (all qualifying) | Virtual focus group | Veterans have co-occurring barriers that require ongoing supportive services to maintain stability once |

| Agency/Org Consulted | Type of Agency/Org | Method of Consultation | Feedback |
|---|--|--|---|
| | populations); domestic violence/human trafficking service provider | July 13, 2022 10:00 a.m. | housed; transitional housing is a successful model for Veterans and individuals fleeing domestic violence and human trafficking; victims of domestic violence are one of the three subpopulations that spend the longest time homeless; shelter dedicated to victims of domestic violence is needed in the County; |
| Tri-County Community Action Commission | Homeless service provider (all qualifying populations); private agency addressing the needs of persons with disabilities | Virtual focus group June 14, 2022 1:00 p.m. | Transportation is a barrier to access housing in proximity to services and employment opportunities; childcare services are unaffordable and inaccessible; services targeted to a whole family are an unmet need |
| Fair Housing Council | Private organization that addresses fair housing, civil rights | Virtual focus group June 14, 2022 10:00 a.m. | Housing and shelter options for large families are limited; Supportive Service Needs include life skills, particularly for those with IDD, financial literacy, housing stability services, transportation, childcare, literacy, landlord/tenant relationship building; employment services (helping to achieve livable wage, not just paycheck to paycheck; soft skills-how to self-advocate, communicate with coworkers; Rental Agreements often favor the landlord, and many tenants have difficulty understanding and abiding by a lease |
| Salvation Army | Homeless service provider (all qualifying populations) | Virtual focus group June 14, 2022 10:00 a.m. | Long term intensive case management is a need to ensure stability for households; staff capacity among service providers is a need to offset and avoid future burnout; transitioning households from immediate employment needs to long term career sustainability requires long term planning and service provision, not always associated with housing; |
| United Way | Homeless service provider-homeless and at-risk of homeless qualifying | Virtual focus group | Capacity building among direct service providers is a need/gap in the service system |

| Agency/Org Consulted | Type of Agency/Org | Method of Consultation | Feedback |
|---|--|---|--|
| | populations; private agency addressing the needs of persons with disabilities | June 14, 2022 10:00 a.m. | |
| Dauphin County Human Services | Public agency addresses the needs of all four qualifying populations; agency addressing the needs of persons with disabilities | Virtual focus group June 14, 2022 1:00p.m. July 13, 2022 10:00 a.m. | Biggest gap continues to be availability of affordable, accessible units; 100+ individuals/families in hotels due to lack of shelter and housing units; intensive case management is critical to long term success (minimum 18 mos, but often longer is needed up to 5 years); many young adults transitioning out of foster care choose not to continue to participate in the CYS system and lose access to resources to assist with housing and services; capacity and resources to operate a new NCS are not existent-concerns with NCS include isolating individuals and households; Shelter capacity is limited in the northern part of the County and other rural parts of the County, but DHS and faith-based groups have successfully placed households in hotels and provided services. Transportation is still a barrier in the rural parts of the County, even if organizations and services are available. TBRA is effective when coupled with the right services-both are needed; |
| Dauphin County Housing Authority | PHA | Virtual focus group July 13, 2022 1:00 p.m. | Has capacity to project base some vouchers; There are insufficient units on the market with landlords willing to accept FMR-they are not competitive with actual rent rates; as such vouchers are being returned even after 180 day extensions; Background checks are posing an increasingly high barrier for households, along with poor rental and credit history; Developer entity creating transitional housing units coupled with FSS, services are |

| Agency/Org Consulted | Type of Agency/Org | Method of Consultation | Feedback |
|---|---|--|--|
| | | | provided ongoing after a household is placed in housing. This is in partnership with Children & Youth focused on families with children living in hotels |
| Mid-Penn Legal Services | Private organization that addresses fair housing, civil rights | Virtual focus group July 13, 2022 10:00 a.m. | Rental assistance and legal services for eviction prevention can help salvage tenancies and prevent poor rental history from becoming a barrier for future tenancies; |
| Latino Hispanic Community Center | Homeless service providers (homeless and at-risk qualifying populations); private organization that addresses fair housing, civil rights; | Virtual focus group July 13, 2022 10:00 a.m. | Capacity building for nonprofits in order to provide greater level of service is a need; assistance and services to keep at-risk households housed is an unmet need; ESL services and literacy services area need-capacity building to provide Spanish speakers is needed; |
| Shalom House | Homeless service providers (homeless and at-risk qualifying populations); | Virtual focus group July 13, 2022 10:00 a.m. | Transitional housing models are effective and needed to help households move successfully out of shelter into permanent housing, especially when finding affordable permanent housing is difficult; there is an increase in elderly homeless being priced out of rental units they've occupied long-term |

Summarize feedback received and results of upfront consultation with these entities:

General Themes and Trends that emerged from all of the consultation groups include the following:

- There continues to be a large gap in the number of quality affordable and accessible units available for rent in the County.
- Protected classes are generally over-represented among people experiencing homelessness.
- Landlord engagement and risk mitigation funds could be helpful to recruit and encourage more landlords to rent to special needs populations.
- Shelter capacity is limited (both bed and staff capacity); hotel/motel utilization rates are high due lack of shelter bed capacity
- Barriers to accessing housing for special needs populations/ELI households include poor credit, criminal and rental histories; significant requests for security deposit (sometimes 3- and 4-times monthly rent); landlords requiring households have 3x the rent in monthly income
- Private landlords no longer accepting HCV or other rental assistance. Many private owners of naturally occurring affordable housing (or owners that had accepted HCV or other assistance)

are selling the units to owners who no longer accept assistance or who increase the rent significantly, displacing households who cannot find affordable units elsewhere.

- Supportive service needs identified include life skills, financial literacy, housing stability services, transportation, childcare, landlord/tenant relationship building; employment services; LEP services
- Staff capacity among all providers is extremely limited

Public Participation

Describe the public participation process, including information about and the dates of the public comment period and public hearing(s) held during the development of the plan:

Date(s) of public notice: 11/22/2022

Public comment period: start date - 11/29/2022 end date - 12/13/2022

Date(s) of public hearing: 12/5/2022

Describe the public participation process:

Dauphin County published a notice in the Patriot News informing the public of the availability of the HOME-ARP Allocation Plan, as well as the public hearing, on November 22, 2022. A copy of the notice and Plan were also made available on the County's Website. The Plan was available for a 15 day period, and the County conducted a public hearing on December 5, 2022. The public hearing was held in person, with a virtual option. Comments on the Plan were accepted via US mail, e-mail, telephone or at the public hearing.

Describe efforts to broaden public participation:

Dauphin County offered multiple opportunities and methods for interested parties to provide comment and participate in the public participation process (such as virtually, in person, written or verbal comments). Language services for the Limited English Proficient were available upon request. Reasonable accommodations to allow broader participation by LEP persons or persons with disabilities in the hearing either in person or virtually were made available.

Summarize the comments and recommendations received through the public participation process either in writing, or orally at a public hearing:

No comments or recommendations were received.

Summarize any comments or recommendations not accepted and state the reasons why:

N/A-no comments were received.

Needs Assessment and Gaps Analysis

Like many communities, Dauphin County has insufficient inventory of emergency and transitional housing options. Over 40% of those in shelter or transitional housing remain as such for more than six months. Longer lengths of stay may increase the need for beds, as there is less movement for new clients to be able to use those resources. This could also point to a need for additional housing inventory, as fewer available options lead to less turnover in shelter and transitional housing beds. Subpopulations with the longest homeless tenure include those aged 55 and older, victims of domestic violence, those with disabilities.

OPTIONAL Homeless Needs Inventory and Gap Analysis Table

| | Homeless | | | | | | | | | | | | |
|------------------------------|-------------------|------------|-------------|------------|-----------|------------------------------|----------------------|------|---------------|--------------|------------|-------------|------------|
| | Current Inventory | | | | | Homeless Population | | | | Gap Analysis | | | |
| | Family | | Adults Only | | Vets | Family HH (at least 1 child) | Adult HH (w/o child) | Vets | Victims of DV | Family | | Adults Only | |
| | # of Beds | # of Units | # of Beds | # of Units | # of Beds | | | | | # of Beds | # of Units | # of Beds | # of Units |
| Emergency Shelter | 110 | 61 | 130 | 130 | 1 | | | | | | | | |
| Transitional Housing | 76 | 31 | 33 | 33 | 16 | | | | | | | | |
| Permanent Supportive Housing | 55 | 23 | 145 | 145 | 78 | | | | | | | | |
| Other Permanent Housing | 10 | 7 | 27 | 27 | 17 | | | | | | | | |
| Sheltered Homeless | | | | | | 46 | 193 | 38 | 28 | | | | |
| Unsheltered Homeless | | | | | | 1 | 58 | 0 | 5 | | | | |
| Current Gap | | | | | | | | | | | | | |

Suggested Data Sources: 1. Point in Time Count (PIT); 2. Continuum of Care Housing Inventory Count (HIC); 3. Consultation

Dauphin County also has a need for affordable housing. The shortage of units both affordable and available for renter households at or below 30% area median income (AMI) increases housing insecurity and rent pressure on extremely low-income households.

OPTIONAL Housing Needs Inventory and Gap Analysis Table

| Non-Homeless | | | |
|---|--------------------------|------------------------|------------------------|
| | Current Inventory | Level of Need | Gap Analysis |
| | # of Units | # of Households | # of Households |
| Total Rental Units | 29,140 | | |
| Rental Units Affordable to HH at 30% AMI (At-Risk of Homelessness) | 3,535 | | |
| Rental Units Affordable to HH at 50% AMI (Other Populations) | 6,720 | | |
| 0%-30% AMI Renter HH w/ 1 or more severe housing problems (At-Risk of Homelessness) | | 4,145 | |
| 30%-50% AMI Renter HH w/ 1 or more severe housing problems (Other Populations) | | 3,495 | |
| Current Gaps | | | 7,145 |

Suggested Data Sources: 1. American Community Survey (ACS); 2. Comprehensive Housing Affordability Strategy (CHAS)

Describe the size and demographic composition of qualifying populations within the PJ’s boundaries:

Homeless as defined in 24 CFR 91.5

The number of Dauphin County residents that meet the definition of homeless under 24 CFR 91.5 was derived from the 2022 PIT Count, conducted by the Capital Area Coalition on Homelessness (CACH) on January 26, 2022. The PIT Count identified a total of 423 persons experiencing homelessness in 2022, up from 358 persons (+18.1%) identified in 2021. Of the counted homeless individuals, 359 (84.9%) were sheltered and 64 (15.1%) were unsheltered. In terms of racial and ethnic demographics, 243 (57.4%) of homeless individuals were African American, 145 (34.3%) were White, and 33 (7.8%) were Hispanic. Black/African American individuals comprise 19.2% of Dauphin County’s population, and is disproportionately over-represented among the homeless.

In addition, the County Department of Human Services reported over 100 households in hotel/motels due to lack of shelter capacity and affordable housing inventory.

Between January 2021 and May 2022, a total of 2,083 homeless persons were served by the Dauphin County/Harrisburg Continuum of Care.

| Program | Persons Served |
|---|-----------------------|
| Emergency Shelter | 683 |
| Permanent Supportive Housing and Supportive Housing | 197 |
| Rapid Re-Housing | 201 |
| Transitional Housing | 205 |
| Safe Haven | 9 |
| Street Outreach | 788 |
| Total | 2,083 |

According to the FY2021 Performance Measure Module, 883 individuals experienced homelessness for the first time during the period October 1, 2020-September 30, 2021. This is a 137.4% increase from 2020, which had 372 persons experiencing homelessness for the first time. These measures took into account persons entering emergency shelter, transitional housing, safe haven, and permanent housing programs.

The Dauphin County Department of Human Services reported over 100 individuals/families are staying in hotels due to lack of shelter capacity and inventory of affordable housing units.

At Risk of Homelessness as defined in 24 CFR 91.5

According to 2014-2018 CHAS data, there are 26,958 Dauphin County residents, or 24.1% of residents, with an annual income below 30% of median family income. Renters who are considered disproportionately at-risk numbered 9,440 or 22.9% of renters with household income at or below 30% of the median family income. Additionally, 15,745, or 38.3% of renter households in Dauphin County are considered cost burdened, spending more than 30% of their income on housing costs. Finally, there are 195 renter households that are living in severely overcrowded housing conditions with more than 1.5 persons per room.

Based on HMIS data for the October 1, 2020-September 30, 2021, 29% of leaver households exited to temporary destinations. Exiting to a temporary destination may indicate greater risk of returning to the homeless system.

In addition, Dauphin County assisted 2,903 households with the Emergency Rental Assistance Program since inception through July 7, 2022. Of these households, 59% had income at or below 30% AMI. Households requiring rental assistance may be at greater risk of experiencing homelessness if they cannot sustain ongoing rent and utility payments.

Fleeing, or Attempting to Flee, Domestic Violence, Dating Violence, Sexual Assault, Stalking, or Human Trafficking, as defined by HUD in the Notice

The YWCA Greater Harrisburg served 199 adults and 71 children in its shelter during the period January 1 through December 31, 2021. Of these, 34.2% were Black or African American and 24% were white. Households not reporting race represented 24.3% of the total served; 12.5% indicated Hispanic ethnicity. During the 2022 PIT Count, 33 individuals in the system were identified as survivors of domestic violence. The YWCA dedicates 16 emergency shelter beds and 5 transitional housing beds for survivors of domestic violence and their families. Additionally, 9 rapid re-housing beds are designated for victims of human trafficking. Stakeholders reported difficulty in reporting the number of victims of human trafficking because victims are reluctant to confirm their status as a victim while receiving assistance.

In 2014, YWCA Greater Harrisburg established PAATH 15 to provide direct services to victims including crisis intervention and response, emergency shelter, case management, advocacy, legal and medical accompaniments, information and referrals, and other services. Dauphin County often serves as a passthrough and destination for trafficking due to its location along major highway intersections, the Pennsylvania Turnpike, and an airport.

Other populations requiring services or housing assistance to prevent homelessness and other populations at greatest risk of housing instability, as defined by HUD in the Notice

Those at greatest risk of housing instability include 5,795 renter households with an annual income less than or equal to 30% AMI and experiencing severe cost burden. This represents 14.1% of all renter households in the County. In addition, 90 renter households earn less than or equal to 50% AMI and live in overcrowded housing conditions.

Other populations at greater risk of housing instability, as identified in the 2022 PIT Count include 93 persons with serious mental illness, 72 persons with a substance use disorder, 38 veterans, 6 transgender/ gender non-conforming/ questioning individuals. The following is a breakdown of these populations' sheltered and unsheltered status:

| | Sheltered | | | Unsheltered | Total |
|--------------------------------------|-----------|--------------|------------|-------------|-----------|
| | Emergency | Transitional | Safe Haven | | |
| Adults with a Serious Mental Illness | 28 | 18 | 9 | 38 | 93 |
| Adults with a Substance Use Disorder | 37 | 9 | 0 | 26 | 72 |
| Adults with HIV/AIDS | 0 | 1 | 0 | 0 | 1 |
| Veterans | 23 | 14 | 1 | 0 | 38 |
| Transgender/Gender Non-Conforming | 3 | 1 | 0 | 1 | 5 |

According to HMIS data, 205 people were living in transitional housing during the period October 1, 2020 through September 30, 2021; of these 131 or nearly 64% were Black, African American, or African. During the same time period, 201 people were receiving rapid rehousing assistance; of these, 70% were Black, African American, or African, again highlighting that this segment of the population is over-represented among people experiencing homelessness. Nearly 15% were Hispanic. Of households that exited Rapid Rehousing programs during this period, 15% of adult leavers had no cash income, putting these households at greater risk of returning to homelessness if unable to support ongoing housing payments.

During the period October 1, 2020 and April 30, 2021, 77 households exited to temporary destinations and 169 households exited to unknown destinations. Of these, 25% and 20%, respectively, returned to homeless during the period May 1, 2021-September 30, 2021. One percent of households exiting to permanent destinations returned to homelessness. Households receiving temporary assistance and those exiting to temporary destinations are more likely to return to homeless or face greater risk of housing instability than those exiting to permanent destinations.

Identify and consider the current resources available to assist qualifying populations, including congregate and non-congregate shelter units, supportive services, TBRA, and affordable and permanent supportive rental housing (Optional):

The Dauphin County Housing Authority owns and manages more than 815 public housing units throughout the County (excluding the City of Harrisburg) as well as administers 1,050 Housing Choice Vouchers, including VASH, Mainstream and Family Unification vouchers.

The Dauphin County 2022 Housing Inventory Chart (HIC) indicated 237 emergency shelter beds were available, including 59 seasonal beds unavailable after March 2022. In addition, the HIC identified 141 transitional housing beds; 25 Safe Haven beds; 242 Permanent Supportive Housing beds; 105 Rapid Rehousing beds and 65 other permanent housing beds (in the form of tenant-based or project based/SRO voucher assistance).

Due to expiration of eviction moratoria, which offered protection for many low-income renter households, coupled with escalating rents, lack of affordable housing inventory, and continued economic effects of the COVID-19 pandemic, the homeless system in Dauphin County experienced significant strains. It lacks capacity across all systems to address the unmet needs of all qualifying populations. This includes staff capacity, inventory capacity and resource capacity to appropriately address growing needs among all of the QPs. Service providers and shelters alike experience ongoing staffing shortages that contribute to these capacity challenges, but also the housing market and inventory is rapidly changing.

Describe the unmet housing and service needs of qualifying populations:

Homeless as defined in 24 CFR 91.5

HMIS indicated 1295 individuals served by the homeless system during the period October 1, 2020-September 30, 2021. The CACH Coordinated Entry System showed a waitlist of 717 households containing 1,353 people. According to the 2022 HIC, Dauphin County has 242 permanent supportive housing beds, of which most are estimated to be occupied. The County also has 339 emergency shelter, transitional housing and safe haven beds. This includes 257 year-round beds and 20 overflow beds. This available inventory is insufficient to meet the needs of the population experiencing homelessness.

All stakeholders consulted for this process expressed the same overwhelming need: affordable rental housing. The County collaborates with developers to invest its HOME funds in LIHTC rental housing to expand the inventory of affordable rental units. However, many of those participating in consultations reported that even these units are not affordable to the extremely low-income populations. Further, there is increased competition for fewer affordable units. Already subsidized projects fill quickly and experience very little turnover and long waiting lists for affordable housing and permanent supportive housing. Many permanent supportive providers rely on scattered site privately owned units, and many providers are falling victim to the landlords who are no longer willing to accept assistance or have tenant selection policies that act as barriers for special needs populations.

According to stakeholders, intensive case management and wrap-around supportive services are required as soon as homeless individuals and families are placed in housing, and these services should be provided for at least 18 months to assist in stabilizing them and decreasing their chance of returning

to homelessness. While numerous service providers are available in the County, their capacity to provide adequate levels of supportive services are strained due to staff shortages, staff burnout, and insufficient staff wages.

Shelter capacity is limited in the northern part of the County and other rural parts of the County, but the Department of Human Services and faith-based groups have successfully placed households in hotels and provided services until affordable housing options are identified. Low-barrier, year-round shelter is not widely available in the County. In addition, there are no dedicated shelter facilities for youth or LGBTQ+, subpopulations identified as having particular unmet needs.

At Risk of Homelessness as defined in 24 CFR 91.5

According to 2014-2018 CHAS data, the number of total housing units exceeds the number of households in Dauphin County. However, the supply of affordable housing units is insufficient for households earning 0-30% AMI. As a result, these households must reside in housing units that are more costly than what would be considered affordable to these households. Renter households earning 81%+ AMI also do not have enough available units appropriately affordable to them. This leads to many high-earning households residing in housing units that would be affordable to lower income households, including those with income below 30% AMI. The low vacancy rates of rental units affordable to these households is indicative of a strong demand for affordable housing within these ranges. Furthermore, this does not consider additional costs such as transportation, childcare, and accessibility modifications for individuals with disabilities. With 38.3% of all Dauphin County renters considered cost burdened and 14.1% of renter households considered severely cost burdened, these additional costs further exacerbate the limited access to affordable housing in the county. Among extremely low-income households, who represent 23% of renter households in the County, 61% experience severe cost burden. For very low-income renters, who represent nearly 40% of all renters in the County, 47.5% are severely cost burdened. This further suggests that the supply of affordable options for housing low-income households is insufficient.

| | Total | | Cost burdened | | Severely Cost burdened | |
|--|--------|-------|---------------|-------|------------------------|-------|
| | # | % | # | % | # | % |
| Total Renters | 41,080 | - | 15,745 | 38.3% | 7,970 | 19.4% |
| Very Low-Income Renters (0-50% AMI) | 16,365 | 39.8% | 11,590 | 70.8% | 7,770 | 47.5% |
| 30-50% AMI | 6,925 | 16.9% | 5,065 | 73.1% | 1,975 | 28.5% |
| Extremely Low-Income Renters (0-30% AMI) | 9,440 | 23.0% | 6,525 | 69.1% | 5,795 | 61.4% |

According to stakeholders, supportive services such as affordable childcare, transportation, employment training and housing counseling along with tenant-based rental assistance are in great need for all qualifying populations, but especially those at-risk of homelessness. Stakeholders also report that TBRA

is highly effective at providing ongoing housing stability, but only if there is adequate, quality inventory and supportive services for extended periods of time to help promote that stability.

Poor rental history is often identified as a barrier for households accessing affordable households. Rental assistance and legal services for eviction prevention were identified as needed services to help salvage tenancies and prevent poor rental history from becoming a barrier for future tenancies.

Subpopulations identified include LGBTQ+ populations, homeless youth and young adults (18-24). This includes young adults that are transitioning out of foster care and choose not to continue to participate in the CYS system and lose access to resources to assist with housing and services. Due to age and lack of rental history, permanent housing options are limited. Stakeholders reported some providers are master leasing units for youth; however, due to general lack of affordable housing inventory, there is still an unmet need for housing options for youth.

Fleeing, or Attempting to Flee, Domestic Violence, Dating Violence, Sexual Assault, Stalking, or Human Trafficking, as defined by HUD in the Notice

Victims of domestic violence are identified as one of the three subpopulations that spend the longest time homeless, indicating a need for dedicated resources, including shelter. The YWCA Greater Harrisburg reported 152 individuals were turned away in 2021 due to lack of shelter capacity, indicative of the need for additional dedicated shelter space for this qualifying population. During Federal Fiscal Year 2021, 55% of households fleeing domestic violence exited the homeless system to temporary or unknown destinations. This suggests a lack of permanent, affordable options for this qualifying population. In fact, according to the 2022 HIC, only 16 shelter beds and five transitional housing beds are dedicated for survivors of domestic violence. Only nine Rapid Rehousing units are available for victims of human trafficking. Transitional housing has been identified as a successful model for individuals fleeing domestic violence and human trafficking, particularly when finding permanent affordable housing is difficult; however, stakeholders confirmed the HIC data indicating there are few transitional housing options for this qualifying population in the County. Further, dedicated shelter for victims of domestic violence is needed in the County. Recovery support services are provided through PAATH 15 for survivors, and training is provided to the public and police to identify potential victims.

Other populations requiring services or housing assistance to prevent homelessness and other populations at greatest risk of housing instability as defined by HUD in the Notice

According to HMIS, during the period January 1, 2021-May 1, 2022, 629 individuals exited the homeless system. Of these, 30% exited to temporary destinations, including transitional housing and temporarily staying with friends or family. Households without permanent housing may be more likely to experience returns to homelessness. Even among households exiting to permanent destinations, 40% exited to rentals without ongoing subsidy. Together, these suggest a need for both affordable housing units, as well as tenant based-rental assistance to support extremely low, and low-income households.

Stakeholders reported that these households, without ongoing supportive services, are more likely to experience crises, unexpected financial obligations, or other obstacles that may lead to returns to homelessness. Services such as case management, financial literacy, budgeting, basic life skills, workforce development and training, childcare and transportation, when provided beyond the time limitations of housing and/or rental assistance are needed to promote stability and prevent returns to

homelessness. Stakeholders reported these long-term services are not widely available due to lack of financial and staff capacity.

Veterans: There are a total of 121 beds available for veterans according to the 2022 HIC. However, two veterans were determined to be unsheltered during the 2022 PIT, indicating a barrier in accessing these resources or difficulties by service providers in engaging veterans. These barriers may include co-occurring mental health and/or substance abuse disorders, lack of income or rental history, or criminal history. YWCA of Greater Harrisburg provides a number of supportive services for veterans in addition to housing, such as case management, life skills training, employment training, financial planning and assistance, housing counseling, transportation, legal services, and child care services. Stakeholders reported that providing services once veteran households are placed in housing allows continuity of care that is critical to promoting stability.

Persons with Disabilities: Households with a disabled member represent one of three subpopulations with longest length of time homeless. During the Federal Fiscal Year 2021, over 70% of households with a disabled member exited the homeless system to temporary or unknown destinations. For people with disabilities, accessible housing is a continuing and critical need, ranging from individuals in wheelchairs to medical beds and in-home healthcare services in order to maintain housing stability. Overall, there is a severe need for permanent housing that can accommodate persons with disabilities. This typically includes bathroom equipped with grab bars, installation of handrails, walk-in showers and tubs, along with handicapped accessible doors and cabinets. Medical respite care was identified as a need for individuals discharging from hospital settings. Other needs of this population to maintain housing stability are the same as everyone else: access to employment and accessible public transportation.

Identify any gaps within the current shelter and housing inventory as well as the service delivery system: According to PA 2-1-1 Counts, 6,726 requests were made for housing and shelter assistance between June 2021 and June 2022 in Dauphin County, or 3.3% of the county's adult population. This was the third highest rate out of all counties in Pennsylvania. Over 70% of these requests were made regarding rental assistance. While most requests were adequately met, unmet request rates were high for those seeking temporary housing solutions through shelter (4% unmet) and home repair or maintenance needs for low-income homeowners (7% unmet). Home repair/ maintenance includes adaptations for safety and disability access, general upkeep, and weatherization. This suggests an unmet need for supportive services and reinforces stakeholder comments indicating a lack of affordable and accessible housing options in the County.

Since PIT and HIC data only provide a snapshot of persons experiencing homelessness on a single night, a broader picture can be provided through data current presented in Dauphin County's Annual Performance Report (APR) for FY 2022 (1/1/2021 to 9/30/2022) as of May 2022. Between January 2021 and May 2022, 1,286 households stayed at least one night in emergency shelter (ES), permanent supportive housing (PSH), permanent housing (PH), rapid re-housing (RRH), transitional housing (TH), or safe haven (SH).

| Exits from the Homelessness System | | | |
|------------------------------------|----------------------------------|---|------------------------------|
| | % Exits to Positive Destinations | # of Households Exited to Positive Destinations | Total # of Households Exited |
| <i>By Household type</i> | | | |
| Adult-only Households | 23.7% | 49 | 207 |
| Households with Children | 31.6% | 123 | 389 |
| Child-only Households | 0.0% | 0 | 4 |
| <i>By Pathway</i> | | | |
| ES | 20.3% | 95 | 468 |
| PSH + PH | 100.0% | 6 | 6 |
| RRH | 34.3% | 12 | 35 |
| TH | 54.2% | 65 | 120 |
| Safe Haven | - | 0 | 0 |
| All Households | 28.3% | 178 | 629 |

Only 28% of households exiting the homeless system exited to positive housing destinations. This suggests that there is an overall lack of permanent housing resources for all household types that entered the homelessness system, especially for those who were only able to access emergency shelter or rapid re-housing resources.

As indicated, shelter resources (both bed capacity and staff capacity) are insufficient to meet the needs. Specifically, there is a gap for low-barrier year-round shelter resources. Stakeholders reported that street outreach services that have been funded with supplemental CARES Act funding have been critical to identifying unsheltered individuals and placing them in shelter or other temporary housing solutions; however, as these resources dwindle, there will be a gap in the ability to continue to provide this service.

2014-2018 CHAS Data, though outdated, identified 9,450 renter households with income below 30% AMI. For these households, there is a 6,885-unit gap in inventory that is both affordable and available to households in this income tier. For renter households between 31-50% AMI, there is a 4,375 unit-gap in housing in units both affordable and available. This suggests that households are experiencing cost burden and severe cost burden by occupying units that are not affordable to them, putting them at greater risk of housing stability and homelessness.

HOUSING GAP FOR RENTER HOUSEHOLDS IN DAUPHIN COUNTY



Source: 2014-2018 CHAS

ESL services and literacy services are unmet needs in the County. Staff capacity is insufficient to provide adequate services for the limited English proficient. Spanish speakers in particular were identified as not being widely available among service providers.

Under Section IV.4.2.ii.G of the HOME-ARP Notice, a PJ may provide additional characteristics associated with instability and increased risk of homelessness in their HOME-ARP allocation plan. These characteristics will further refine the definition of “other populations” that are “At Greatest Risk of Housing Instability,” as established in the HOME-ARP Notice. If including these characteristics, identify them here:

Dauphin County is not establishing additional characteristics at this time.

Identify priority needs for qualifying populations:

Based on stakeholder consultations and data analysis, rental housing that is affordable and accessible to individuals and households at 0-30% AMI is the priority need. An adequate inventory of deeply subsidized housing for Qualifying Populations is a priority due to low vacancy rates and escalating rental costs. Coupled with this is a priority for rental assistance and supportive services (including case management, legal services and homeless prevention services) to assist the homeless identify and access housing and become stably housed, as well as to prevent homelessness among households who are at greatest risk.

Explain how the PJ determined the level of need and gaps in the PJ’s shelter and housing inventory and service delivery systems based on the data presented in the plan:

In addition to feedback from stakeholders and consultation sessions, the following plans and data sources were consulted to determine needs and system gaps for HOME-ARP qualifying populations:

- American Community Survey (ACS), 2016-2020

- HUD Comprehensive Housing Affordability Strategy (CHAS), 2014-2018
- Capital Area Coalition on Homelessness (CACH) 2022 Point-In-Time Count
- CACH 2022 Housing Inventory County (HIC)
- CACH Coordinated Entry System (CES)
- Harrisburg/Dauphin County CoC Annual Performance Report (APR)
- 2021 System Performance Measures
- United Way of Pennsylvania's 2-1-1 Counts

To evaluate the size and demographic composition of qualifying populations within its boundaries, the HOME-ARP PJ enlisted the help of the Dauphin County/Harrisburg CoC HMIS Lead to provide data on homelessness and other qualifying populations. The County utilized CHAS, ACS and HIC data to identify the current affordable inventory in the County, as well as to determine the gap in housing availability (over 10,000 units). HMIS data on the percentage of households exiting the homeless system to unknown or temporary destinations (over 70%) and rates of return to homelessness were also used to determine the availability affordable housing inventory. In addition, the County utilized 2-1-1 requests as well as stakeholder feedback to identify service needs for the County.

HOME-ARP Activities

Describe the method(s) that will be used for soliciting applications for funding and/or selecting developers, service providers, subrecipients and/or contractors:

The Dauphin County Department of Community and Economic Development will invite any Developer/Owner that is looking to apply for funding and/or other resources from the County for the new construction, substantial rehabilitation, and/or preservation of affordable housing to submit a proposal for funding. The proposed activity, including the proposed qualifying population(s) to be served, will be evaluated in accordance with the County's HOME-ARP allocation priorities, preferences and prioritization (if any), and projects that address qualifying populations will be considered. The County is not currently establishing preference or priority as part of its scoring criteria for HOME ARP proposals for funding. Should the County establish preference or priorities, a substantial amendment may be duly publicized and submitted to HUD for review. Applicants for HOME-ARP must meet the minimum program eligibility and threshold requirements. Depending on the nature of the proposed activity, site inspections may be conducted by County staff. An evaluation of the site's feasibility, financial underwriting and developer capacity assessment will be completed and considered as part of the review process.

Describe whether the PJ will administer eligible activities directly:

Dauphin County will not administer and oversee its eligible activities directly. Rather, it will enter into HOME Agreements with developers of affordable rental housing projects, and execute subrecipient agreements with service providers. The County will provide oversight and management of its developer and subrecipient agreements.

If any portion of the PJ's HOME-ARP administrative funds are provided to a subrecipient or contractor prior to HUD's acceptance of the HOME-ARP allocation plan because the subrecipient or contractor is responsible for the administration of the PJ's entire HOME-ARP grant, identify the subrecipient or contractor and describe its role and responsibilities in administering all of the PJ's HOME-ARP program:

Dauphin County will not be distributing any portion of its HOME – ARP administrative funds to a subrecipient or contractor prior to HUD's acceptance of the HOME-ARP allocation plan.

Use of HOME-ARP Funding

| | Funding Amount | Percent of the Grant | Statutory Limit |
|--|-----------------------|-----------------------------|------------------------|
| Supportive Services | \$ 375,000 | | |
| Acquisition and Development of Non-Congregate Shelters | \$ # | | |
| Tenant Based Rental Assistance (TBRA) | \$ # | | |
| Development of Affordable Rental Housing | \$ 1,621,510 | | |
| Non-Profit Operating | \$ # | # % | 5% |
| Non-Profit Capacity Building | \$ # | # % | 5% |
| Administration and Planning | \$ 352,325 | 15 % | 15% |
| Total HOME ARP Allocation | \$ 2,348,835 | | |

Describe how the PJ will distribute HOME-ARP funds in accordance with its priority needs identified in its needs assessment and gap analysis:

The HOME-ARP budget was driven by the priority needs identified as a result of stakeholder consultation and data analysis. Dauphin County will distribute 70% of its HOME-ARP allocation for the development of affordable rental housing. An overall lack of affordable rental units was one of the most frequently identified unmet needs among stakeholders. Tight rental markets and high rents make it extremely difficult for housing and service providers to find units that are affordable and in decent condition for their clients. The County is prioritizing the creation of affordable housing units for qualified populations. In addition, the County is allocating approximately 15% of its HOME-ARP funds for supportive services, recognizing that long term supportive services are critical to ensuring stability and success in permanent housing for vulnerable households. HOME – ARP funds will be used for individuals or families from all of the following qualifying populations: homeless; at-risk of homelessness; fleeing or attempting to flee domestic violence, sexual assault, stalking, or human trafficking; other populations where providing assistance would prevent the family's homelessness or would serve those with the greatest risk of housing instability.

Describe how the characteristics of the shelter and housing inventory, service delivery system, and the needs identified in the gap analysis provided a rationale for the plan to fund eligible activities:

The affordable rental housing inventory in Dauphin County is severely limited, a challenge that existed long before, and has been exacerbated by, the pandemic. There is increased competition for fewer affordable units throughout the County. Privately owned units are no longer available for affordable housing in many places as landlords are no longer willing to accept rental assistance or have tenant selection policies that act as barriers for special needs populations. Shelters lack bed capacity for households experiencing homelessness, and many families are sheltered in hotels which is costly. Due to lack of affordable inventory, it is increasingly challenging to move folks out of shelter into permanent housing. Success in permanent housing is contingent on long-term services; therefore, the County's emphasis with HOME ARP funds will be to create new units to add to the affordable housing inventory in the County, while providing support for the wraparound services required to ensure successful, stable housing.

HOME-ARP Production Housing Goals

Estimate the number of affordable rental housing units for qualifying populations that the PJ will produce or support with its HOME-ARP allocation:

It is anticipated that the County's HOME ARP funds will be used to create a minimum of five new units affordable to households at or below 30% AMI.

Describe the specific affordable rental housing production goal that the PJ hopes to achieve and describe how the production goal will address the PJ's priority needs:

It is anticipated that the County's HOME ARP funds will be used to create a minimum of five new units affordable to households at or below 30% AMI. The County's priority goal established by this Allocation Plan is to increase the number of affordable housing units in the County. Providing funds for rental housing production directly supports the advancement of that goal.

Preferences

Identify whether the PJ intends to give preference to one or more qualifying populations or a subpopulation within one or more qualifying populations for any eligible activity or project:

Dauphin County will not establish a preference; all qualifying populations will be eligible to apply for assistance under the County's HOME-ARP assisted program(s). Any preferences established in the future will be described in an amendment to this Allocation Plan and will not violate any fair housing, civil rights, or nondiscrimination requirements at the federal, state, or local level, which includes but is not limited to requirements found in 24 CFR 5.105(a).

if a preference was identified, explain how the use of a preference or method of prioritization will address the unmet need or gap in benefits and services received by individuals and families in the qualifying population or subpopulation of qualifying population, consistent with the PJ's needs assessment and gap analysis:

No preferences are established.

Referral Methods

Identify the referral methods that the PJ intends to use for its HOME-ARP projects and activities. PJ's may use multiple referral methods in its HOME-ARP program. (Optional):

Dauphin County will use the Coordinated Entry system as an indirect referral source. That is, the CE will be used for intake in order to add the eligible applicant to a HOME-ARP project waiting list, which will be selected from in accordance with the preference and prioritization described above. Coordinated Entry will not be used to admit applicants directly to a HOME-ARP assisted project

If the PJ intends to use the coordinated entry (CE) process established by the CoC, describe whether all qualifying populations eligible for a project or activity will be included in the CE process, or the method by which all qualifying populations eligible for the project or activity will be covered. (Optional):

As indicated above Coordinated Entry will not be used to admit applicants directly to a HOME-ARP assisted project as it does not include all of the qualifying populations. Referrals will be accepted from other sources including emergency shelters, 2-1-1, Street Outreach programs and service providers. All referrals will be placed on the project(s) waiting list and admitted in accordance with the preference and prioritization established earlier in this Plan

If the PJ intends to use the CE process established by the CoC, describe the method of prioritization to be used by the CE. (Optional):

As indicated above Coordinated Entry will not be used to admit applicants directly to a HOME-ARP assisted project, and the CoC CE prioritization will not be utilized.

If the PJ intends to use both a CE process established by the CoC and another referral method for a project or activity, describe any method of prioritization between the two referral methods, if any. (Optional):

As indicated above Coordinated Entry will not be used to admit applicants directly to a HOME-ARP assisted project as it does not include all of the qualifying populations. Referrals will be accepted from other sources including emergency shelters, 2-1-1, Street Outreach programs and service providers. All referrals will be placed on the project(s) waiting list and admitted in accordance with the prioritization described earlier in this plan. Source of referral will not give one applicant preference or prioritization over another.

Limitations in a HOME-ARP rental housing or NCS project

Describe whether the PJ intends to limit eligibility for a HOME-ARP rental housing or NCS project to a particular qualifying population or specific subpopulation of a qualifying population identified in section IV.A of the Notice:

Dauphin County is not establishing limitations on eligibility.

If a PJ intends to implement a limitation, explain why the use of a limitation is necessary to address the unmet need or gap in benefits and services received by individuals and families in the qualifying population or subpopulation of qualifying population, consistent with the PJ's needs assessment and gap analysis:

Dauphin County is not establishing limitations on eligibility.

If a limitation was identified, describe how the PJ will address the unmet needs or gaps in benefits and services of the other qualifying populations that are not included in the limitation through the use of HOME-ARP funds (i.e., through another of the PJ's HOME-ARP projects or activities):

Not applicable.

HOME-ARP Refinancing Guidelines

Dauphin County does not intend to use HOME-ARP funds to refinance existing debt secured by multifamily rental housing in order to rehabilitate the units with HOME-ARP funds. If the County determines that it will use HOME ARP for refinancing, the guidelines for doing so will be in conformance with the HOME-ARP program notice and will be submitted to HUD for review.

Appendix A: Consultation Materials

Appendix B: Citizen Participation

Appendix C: SF424s and Certifications